COMMISSION OF THE EUROPEAN COMMUNITIES



Brussels, 5.10.2006 COM(2006) 581 final

2006/0186 (ACC)

Proposal for a

COUNCIL DECISION

concerning conclusion of the Agreement between the Government of the United States of America and the European Community on the coordination of energy-efficiency labelling programmes for office equipment

(presented by the Commission)

EXPLANATORY MEMORANDUM

- 1. This EC/US Agreement contains provisions to coordinate energy-efficiency labelling programmes for office equipment (computers, monitors, printers, copiers, faxes, etc.) with a view to fostering their energy efficiency without creating barriers to trade.
- 2. This new Agreement builds upon the experience gained during the first period of implementation of a common voluntary energy labelling programme for office equipment the Energy Star programme based on the first EC/US Agreement signed in 2000¹.
- 3. The detailed reasons for concluding a new Agreement continuing the Energy Star programme for a second period of five years, on the basis of the Agreement attached in the Annex, are set out in the Recommendation from the Commission to the Council to open negotiations on the second Energy Star Agreement² and in the Communication from the Commission to the Council and the European Parliament on the first period of implementation of the Energy Star programme in the Community³. The main points are summarised below:
 - Efficient use of energy is one of the main pillars for a sustainable energy policy in the EC. The Commission's Green Paper on energy efficiency⁴ identifies the following major benefits from efficient use of energy: securing the competitiveness of the European economy due to lower spending on energy, environmental protection due to a reduction of carbon dioxide emissions caused by energy use, security of energy supply due to a reduction of energy demand and, hence, reduction of dependence on energy imports.
 - Office equipment takes a significant share of electricity consumption in the EC. If no measures are taken, consumption could increase as functionality is enhanced and the stock in offices and households increases. In order to meet the targets set in the Green Paper on energy efficiency, the energy performance of office equipment must be further optimised.
 - The EC Energy Star programme provides a framework for coordinating the national efforts of the EC Member States to improve the energy efficiency of office equipment. A coordinated approach is necessary in order to minimise the adverse impact on industry and trade, since uncoordinated national requirements would impose additional costs on manufacturers, traders and, consequently, purchasers and users of office equipment.
 - Since office equipment is traded worldwide measures to optimise its energy efficiency should be implemented together with global partners and decision-makers. A harmonised approach reduces programme implementation costs and avoids confusion for consumers. The Energy Star programme provides a framework for coordinating actions to improve the energy efficiency of office equipment with the USA, Japan and other key market players. The Energy Star

¹ OJ L 172; 26.6.2001, p. 3

² SEC(2006)381 final

³ COM(2006)140 final

⁴ COM(2005)265 final

programme owned by the US Environmental Protection Agency (US EPA) and managed by the European Commission for the EC and for the EEA is therefore the natural platform for measures aiming at improving the energy efficiency of office equipment through labelling.

- The voluntary Energy Star programme is widely accepted by manufacturers. Furthermore, in the U.S.A. it is important for public procurement since the technical specifications have to be observed in public procurement procedures. This provides an incentive for manufacturers to put on the market products complying with the programme's requirements. As a consequence, the market is driven towards energy-efficient products and significant energy savings could be achieved, provided the technical specifications are demanding (e.g. cover 25% of the models) at the time the criteria are set. The Commission believes that the input of EC experts is an important contribution to developing consistent and demanding technical specifications.
- The Commission and the EC Energy Star Board consider that the Energy Star programme has contributed to improving the energy efficiency of office equipment sold in the Community. This assessment is qualitative because the amount of energy saved in the Community due to implementation of the programme during the period 2001-2006 cannot be quantified. On the other hand, significant potential to improve the energy performance of office equipment in a cost-effective manner still exists. However, the fast pace of innovation and product development cycles require highly flexible instruments, such as voluntary labelling schemes, which can be adapted more swiftly to technological progress and developments on the market.
- The programme enhances transparency on the office equipment market, particularly regarding the energy performance of office equipment. This is a prerequisite for activities aiming at motivating consumers, the tertiary sector and public procurement authorities to include energy efficiency and the total cost of ownership, including the electricity bill, in their purchasing decisions. In particular, the Energy Star database managed by the Commission may be used by national, regional and local authorities as a basis for activities to promote particularly energy-efficient office equipment.
- 4. As required by the negotiating directives issued by the Council to the Commission, Article I(4) of the new Agreement contains the requirement that the common technical specifications (the efficiency criteria to be met to qualify for the Energy Star label) must be demanding. This is a prerequisite in order to foster the energy efficiency of office equipment effectively.
- 5. Annex C to the new Agreement contains demanding and innovative common technical specifications for computer monitors and imaging equipment (copiers, printers, faxes etc.), covering both standby and on-mode energy consumption. Estimates suggest that the new common technical specifications for imaging equipment will save about 17 TWh in EU-25⁵ during the next three years. New common technical specifications for computers are being developed and are foreseen

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See www.eu-energystar.org for details of the calculation

to come into force in 2007. They can be added to the Agreement by mutual agreement between the Commission and the US Environmental Protection Agency, acting as the management entities for the programme.

- 6. During the consultations on the rationale for renewing the Energy Star Agreement, the EC Energy Star Board (a consultative forum made up of the Member States, industry and environmental and consumer NGOs) requested that the Energy Star programme should be implemented more efficiently in the EC if the Agreement were renewed. Given that the EC Energy Star Board is consulted on all aspects of the Energy Star programme and given the voluntary nature of the scheme, the Commission considers that there is no need to consult the Council's 133 "special committee" on issues related to amending the technical Annexes to the Agreement; it would lead to unnecessary delays in the procedure, slowing down the introduction of new technical criteria and, hence, impairing the effectiveness of the programme.
- 7. In line with the negotiating directives issued by the Council to the Commission, the proposal is that Annexes A (layout of the Energy Star logo) and B (guidelines for use of the logo) may be changed by the management entities pursuant to Article IX of the new Agreement in order to facilitate adaptation to marketing requirements.
- 8. The European Parliament was informed of the negotiations on the new Energy Star Agreement at the meeting of the Industry, Research and Energy Committee on 30 May 2006.
- 9. A proposal for a European Parliament and Council Regulation implementing the Energy Star Agreement within the Community is being submitted in parallel to this draft Decision.
- 10. This Agreement has no financial impact on the budget of the European Communities.

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THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty establishing the European Community, and in particular Article 133, in conjunction with Article 300(2), first subparagraph, first sentence, and Article 300(4) thereof,

Having regard to the proposal from the Commission,

Whereas:

- (1) The Council Decision authorising the Commission to open negotiations for an Agreement between the Government of the United States of America and the European Community on the coordination of energy-efficiency labelling programmes for office equipment was adopted on 5 May 2006⁶.
- (2) The negotiations have been concluded and the Agreement between the Government of the United States of America and the European Community on the coordination of energy-efficiency labelling programmes for office equipment (hereinafter referred to as "the Agreement") was initialled by both Parties on 7 June 2006.
- (3) The appropriate internal Community procedures should be established to ensure proper operation of the Agreement.
- (4) The market for office equipment is evolving rapidly. It is essential to reassess frequently the potential for maximising energy savings and environmental benefits by stimulating the supply of and demand for energy-efficient products. It is therefore necessary to empower the Commission, assisted by a Community advisory board made up of national representatives and of all interested parties, to reassess and upgrade the Common Specifications for office equipment set out in Annex C to the Agreement regularly and to take certain decisions for implementation of the Agreement, such as the layout of the Energy Star logo and the guidelines for use of the logo contained in Annexes A and B respectively.
- (5) Implementation of the Agreement should be reviewed by the Technical Commission established by the Agreement.

⁶ CS/2006/8381-1 of 12 April 2006

- (6) Each party to the Agreement should designate a management entity and the procedure for amending the Agreement should be defined.
- (7) The Agreement should be approved,

HAS DECIDED AS FOLLOWS:

Article 1

The Agreement between the Government of the United States of America and the European Community on the coordination of energy-efficiency labelling programmes for office equipment, including its Annexes, is hereby approved on behalf of the Community.

The text of the Agreement and the Annexes thereto are attached to this Decision.

Article 2

The President of the Council is hereby authorised to designate the person empowered to sign the Agreement in order to express the consent of the Community to be bound thereby.

Article 3

The President of the Council shall, on behalf of the Community, give the notification in writing provided for in Article XIV(1) of the Agreement.

Article 4

- 1. The Commission shall represent the Community in the Technical Commission provided for in Article VII of the Agreement, after having heard the views of the members of the European Community Energy Star Board established by Regulation (EC) No 2422/2001 of the European Parliament and of the Council. After consulting the European Community Energy Star Board, the Commission shall carry out the tasks referred to in Articles VI(5), VII(1) and (2), and IX(4), of the Agreement.
- 2. With a view to preparing the position of the Community with regard to amendments to the list of office equipment in Annex C to the Agreement, the Commission shall take into account any opinion given by the European Community Energy Star Board.
- 3. The position of the Community with regard to decisions to be taken by the management entities shall be determined, with regard to amendments of Annex A (Energy Star name and common logo), Annex B (Guidelines for proper use of the Energy Star name and common logo), and Annex C (Common Specifications) to the Agreement, by the Commission, after consultation of the European Community Energy Star Board.
- 4. In all other cases, the position of the Community with regard to decisions to be taken by the Parties to the Agreement shall be determined by the Council, acting on a proposal from the Commission in accordance with Article 300 of the Treaty.

Article 5

This Decision shall be published in the *Official Journal of the European Union*. Done at Brussels,

> For the Council The President

<u>ANNEX</u>

Agreement between the Government of the United States of America and the European Community on the coordination of energy-efficiency labelling programs for office equipment

The Government of the United States of America and the European Community, hereinafter "the Parties";

Desiring to maximize energy savings and environmental benefits by stimulating the supply of and demand for energy-efficient products;

Taking into account the Agreement between the Government of the United States of America and the European Community on the Coordination of Energy-Efficient Labelling Programs for Office Equipment, done on December 19, 2000, and its annexes, as amended (hereinafter "the 2000 Agreement");

Satisfied by the progress made under the 2000 Agreement;

Convinced that additional benefits will be achieved by continuing mutual efforts on ENERGY STAR;

Have agreed as follows:

Article I General Principles

- 1. A common set of energy-efficiency specifications and a common logo shall be used by the Parties for the purpose of establishing consistent targets for manufacturers, thereby maximizing the effect of their individual efforts on the supply of and demand for such product types.
- 2. The Parties shall use the Common Logo for the purpose of identifying qualified energy-efficient product types listed in Annex C.
- 3. The Parties shall ensure that common specifications encourage continuing improvement in efficiency, taking into account the most advanced technical practices on the market.
- 4. The Common Specifications strive to represent not more than 25 percent of models for which data is available at the time the specifications are set while also taking other factors into consideration.
- 5. The Parties shall endeavour to ensure that consumers have the opportunity to identify efficient products by finding the label in the market.

Article II Relation to the 2000 Agreement

This Agreement supersedes in its entirety the 2000 Agreement.

Article III Definitions

For the purposes of this Agreement:

- (a) "ENERGY STAR" means the service mark designated in Annex A and owned by the United States Environmental Protection Agency ("U.S. EPA");
- (b) **"Common Logo"** means the certification mark designated in Annex A and owned by U.S. EPA;
- (c) **"ENERGY STAR Marks"** means the "ENERGY STAR" name and the Common Logo, as well as any versions of these marks that may be developed or modified by the Management Entities or Program Participants, as herein defined, including the sign or marking contained in Annex A of this Agreement;
- (d) **"ENERGY STAR Labeling Program"** means a program administered by a Management Entity using common energy-efficiency specifications, marks, and guidelines to be applied to designated product types;
- (e) **"Program Participants"** means manufacturers, vendors, or resale agents that sell designated, energy-efficient products that meet the specifications of and who have

chosen to participate in, the ENERGY STAR Labelling Program by registering or entering an agreement with the Management Entity of either Party;

(f) **"Common Specifications"** are the energy-efficiency and performance requirements, including testing methods listed in Annex C, used by Management Entities and Program Participants to determine qualification of energy-efficient products for the Common Logo.

Article IV

Management entities

Each Party hereby designates a management entity responsible for implementation of this Agreement (the "Management Entities"). The European Community designates the Commission of the European Communities ("Commission") as its Management Entity. The United States of America designates the U.S. EPA as its Management Entity.

Article V

Administration of the ENERGY STAR Labelling Program

- 1. Each Management Entity shall administer the ENERGY STAR Labelling Program for the energy-efficient product types listed in Annex C, subject to the terms and conditions set forth in this Agreement. Program administration includes registering Program Participants on a voluntary basis, maintaining Program Participant and compliant product lists, and enforcing the terms of the Guidelines for Proper Use of the ENERGY STAR Name and Common Logo set forth in Annex B.
- 2. The ENERGY STAR Labelling Program shall use the Common Specifications listed in Annex C.
- 3. To the extent that each Management Entity takes effective measures to educate consumers about the ENERGY STAR Marks, it shall do so in accordance with the Guidelines for Proper Use of the ENERGY STAR Name and Common Logo set forth in Annex B.
- 4. Each Management Entity shall bear the expenses for all of its activities under this Agreement.

Article VI Participation in the ENERGY STAR Labelling Program

- 1. Any manufacturer, vendor or resale agent may enter the ENERGY STAR Labelling Program by registering as a Program Participant with the Management Entity of either Party.
- 2. Program Participants may use the Common Logo to identify qualified products that have been tested in their own facilities or by an independent test laboratory and that meet the Common Specifications set forth in Annex C, and may self-certify product qualification.

- 3. The registration of a Program Participant in the ENERGY STAR Labelling Program by the Management Entity of one Party shall be recognized by the Management Entity of the other Party.
- 4. To facilitate the recognition of Program Participants in the ENERGY STAR Labeling Program in accordance with Paragraph 3 above, the Management Entities shall cooperate in order to maintain common lists of all Program Participants and products that qualify for the Common Logo.
- 5. Notwithstanding the self-certification procedures specified in Paragraph 2 above, each Management Entity reserves the right to test or otherwise review products that are or have been sold within its territories (in the territories of the European Community Member States in the case of the Commission) to determine whether the products are certified in accordance with the Common Specifications set forth in Annex C. The Management Entities shall communicate and cooperate fully with one another to ensure all products bearing the Common Logo meet the Common Specifications set forth in Annex C.

Article VII Program coordination between the Parties

- 1. The Parties shall establish a Technical Commission to review implementation of this Agreement, composed of representatives of their respective Management Entities.
- 2. In principle, the Technical Commission shall meet annually and shall consult at the request of one of the Management Entities to review the operation and administration of the ENERGY STAR Labelling Program, the Common Specifications set forth in Annex C, product coverage, and the progress in achieving the objectives of this Agreement.
- 3. Nonparties (including other governments and industry representatives) may attend meetings of the Technical Commission as observers, unless otherwise agreed by both Management Entities.

Article VIII Registration of the ENERGY STAR Marks

- 1. The U.S. EPA, as owner of the ENERGY STAR marks, has registered the marks in the European Community as Community Trade Marks. The Commission shall not seek or obtain any registration of the ENERGY STAR marks or any variation of the marks in any country.
- 2. The U.S. EPA undertakes not to consider as an infringement of these marks the use, by the Commission or by any Program Participant registered by the Commission, of the sign or marking contained in Annex A in accordance with the terms of this Agreement.

Article IX Enforcement and non-compliance

- 1. In order to protect the ENERGY STAR marks, each Management Entity shall ensure the proper use of the ENERGY STAR marks within its territory (within the territories of the European Community Member States in the case of the Commission). Each Management Entity shall ensure that the ENERGY STAR marks are used only in the form that appears in Annex A. Each Management Entity shall ensure that the ENERGY STAR marks are used solely in the manner specified in the Guidelines for Proper Use of the ENERGY STAR Name and Common Logo set forth in Annex B.
- 2. Each Management Entity shall ensure that prompt and appropriate action is taken against Program Participants, whenever it has knowledge that a Program Participant has used an infringing mark or has affixed the ENERGY STAR marks to a product that does not comply with the Specifications set forth in Annex C. Such actions shall include, but not be limited to:
 - (a) informing the Program Participant in writing of its non- compliance with the terms of the ENERGY STAR Labelling Program;
 - (b) through consultations, developing a plan to reach compliance; and
 - (c) if compliance cannot be reached, terminating the registration of the Program Participant, as appropriate.
- 3. Each Management Entity shall ensure that all reasonable actions are taken to end the unauthorized use of the ENERGY STAR marks or use of an infringing mark by an entity that is not a Program Participant. Such actions shall include, but shall not be limited to:
 - (a) informing the entity using the ENERGY STAR marks of ENERGY STAR Labelling Program requirements and the Guidelines for Proper Use of the ENERGY STAR Name and Common Logo; and
 - (b) encouraging the entity to become a Program Participant and register qualified products.
- 4. Each Management Entity shall immediately notify the Management Entity of the other Party of any infringement of the ENERGY STAR marks of which it has knowledge as well as the action taken to end such infringement.

Article X Procedures for amending the Agreement and for adding new Annexes

- 1. Either Management Entity may propose an amendment to this Agreement and may propose new annexes to the Agreement.
- 2. A proposed amendment shall be made in writing and shall be discussed at the next meeting of the Technical Commission, provided that it has been communicated to the other Management Entity at least sixty days in advance of such meeting.

3. Amendments to this Agreement and decisions to add new annexes shall be made by mutual agreement of the Parties. Amendments to Annexes A, B, and C shall be made according to the provisions of Articles XI and XII.

Article XI Procedures for amending Annexes A and B

- 1. A Management Entity seeking to amend Annex A or Annex B shall follow the procedures set forth in paragraphs 1 and 2 of Article X.
- 2. Amendments to Annexes A and B shall be made by mutual agreement of the Management Entities.

Article XII Procedures for amending Annex C

- 1. A Management Entity seeking to amend Annex C to revise existing Specifications, or to add a new product type ("Proposing Management Entity") shall follow the procedures set forth in paragraphs 1 and 2 of Article X, and shall include in its proposal:
 - (a) a demonstration that significant energy savings would result from revising the Specifications or adding the new product type;
 - (b) as appropriate, energy consumption requirements for various power consumption modes;
 - (c) information on the standardized testing protocols to be used in evaluating the product;
 - (d) evidence of existing non-proprietary technology that would make possible cost-effective energy savings without negatively affecting product performance;
 - (e) information on the estimated number of product models that would meet the proposed specification and approximate market share represented;
 - (f) information on the views of industry groups potentially affected by the proposed amendment; and
 - (g) a proposed effective date for the new Specifications, taking into consideration product life cycles and production schedules.
- 2. Proposed amendments that are accepted by both Management Entities shall enter into force on a date mutually agreed by the Management Entities.
- 3. If, after receipt of a proposal made in accordance with paragraphs 1 and 2 of Article X, the other Management Entity ("Objecting Management Entity") is of the view that the proposal does not meet the requirements specified in Paragraph 1 above or otherwise objects to the proposal it shall promptly (normally by the next Technical

Commission Meeting) notify the Proposing Management Entity in writing of its objection and shall include any available information supporting its objection; for example, information demonstrating that the proposal, if adopted, would likely:

- (a) disproportionately and unfairly confer market power on one company or industry group;
- (b) undermine overall industry participation in the ENERGY STAR labeling program;
- (c) conflict with its laws and regulations; or
- (d) impose burdensome technical requirements.
- 4. The Management Entities shall make best efforts to reach agreement on the proposed amendment at the first meeting of the Technical Commission following the proposal. If the Management Entities are unable to reach agreement on the proposed amendment at this Technical Commission meeting, they shall seek to reach agreement in writing prior to the subsequent Technical Commission meeting.
- 5. If, by the end of the subsequent Technical Commission meeting, the Parties are unable to reach agreement, the Proposing Management Entity shall withdraw its proposal; and with respect to proposals to revise existing Specifications, the corresponding product type shall be removed from Annex C by the date agreed upon in writing by the Management Entities. All Program Participants shall be informed of this change and of the procedures to be followed to implement this change.
- 6. When preparing new Common Specifications or revising existing Common Specifications, the Management Entities shall ensure effective coordination and consultation among themselves and with their respective stakeholders, particularly with regard to the content of the working documents and timelines.

Article XIII General provisions

- 1. Other environmental labelling programs are not covered by this Agreement and may be developed and adopted by either of the Parties.
- 2. All activities undertaken under this Agreement shall be subject to the applicable laws and regulations of each Party and to the availability of appropriated funds and resources.
- 3. Nothing in this Agreement shall affect the rights and obligations of any Party deriving from a bilateral, regional or multilateral agreement into which it has entered prior to the entry into force of this Agreement.
- 4. Without prejudice to any other provisions of this Agreement, either Management Entity may run labelling programs with respect to product types not included in Annex C. Notwithstanding any other provisions of this Agreement, neither Party

shall hinder the import, export, sale or distribution of any product because it bears the energy-efficiency marks of the Management Entity of the other Party.

Article XIV Entry into force and duration

- 1. This Agreement shall enter into force on the date upon which each Party has notified the other in writing that its respective internal procedures necessary for its entry into force have been completed.
- 2. This Agreement shall remain in force for a period of five years. At least one year prior to the end of this period, the Parties shall meet to discuss renewal of this Agreement.

Article XV

Termination

- 1. Either Party may terminate this Agreement at any time by providing three months written notice to the other Party.
- 2. In the event of termination or non-renewal of this Agreement, the Management Entities shall inform all Program Participants which they have registered of the termination of the joint program. Moreover, Management Entities shall inform the Program Participants which they have registered that each Management Entity may continue the labelling activities under two separate individual programs. In this case, the European Community labelling program will not use the ENERGY STAR marks. The Commission shall ensure that itself, the Member States of the European Community and any Program Participants which it has registered cease using the ENERGY STAR marks by the date agreed upon in writing by the Management Entities. The obligations contained in this Article XV (2) shall survive the termination of this Agreement.

Article XVI

Authentic languages

Done in duplicate at ... on the ... of ... in the year two thousand six in the Czech, Danish, Dutch, English, Estonian, Finnish, French, German, Greek, Hungarian, Italian, Latvian, Lithuanian, Maltese, Polish, Portuguese, Slovakian, Slovenian, Spanish and Swedish, languages, each of these versions being equally authentic. In case of difficulties concerning interpretation, English shall prevail.

For the Government of the United States of America:

For the European Community:

ANNEX A

Energy Star name and common logo

Name: ENERGY STAR

Common logo:



ANNEX B

Guidelines for proper use of the Energy Star name and common logo

The ENERGY STAR name and Common logo are marks of the U.S. EPA. As such, the name and Common logo may only be used in accordance with the following guidelines and the Partnership Agreement or the European Commission Registration Form signed by Program Participants in the ENERGY STAR labelling program. Please distribute these guidelines to those who will be responsible for preparing ENERGY STAR materials on your behalf.

U.S. EPA, and the European Commission, in the European Community Member States Territory oversee proper use of the ENERGY STAR name and Common logo. This includes monitoring the use of the marks in the marketplace, and directly contacting those organizations that are using them improperly or without authorization. Consequences of misusing the marks may include the termination of the Program Participant's participation in the ENERGY STAR labelling program, and, for products imported into the U.S. improperly using the marks, the possible seizure by the U.S. Customs Services of those goods.

General Guidelines

The ENERGY STAR Program is a partnership between businesses and organizations on one side and the US Federal government or the European Community on the other side. As part of this partnership, businesses and organizations can use the ENERGY STAR name and Common logo, as part of their energy efficiency and environmental activities.

Organizations must enter into an agreement with a management entity – the Environmental Protection Agency for the US or the European Commission for the EU– to use the marks as provided in this document. Alterations to these marks are not allowed as the alterations would confuse businesses and consumers about the source of the ENERGY STAR program and reduce its value for all.

Organizations using these marks must abide by the following general guidelines:

- 1. The ENERGY STAR name and Common Logo may never be used in any manner that would imply endorsement of a company, its products, or its services. Neither the Common Logo nor the ENERGY STAR name may be used in any other company name or logo, product name, service name, domain name, or Web site title, nor may the Common Logo, the ENERGY STAR name, or any similar mark be applied for as a trademark, or as part of a trademark by any entity other than the U.S. EPA.
- 2. The ENERGY STAR name and Common Logo may never be used in a manner that would disparage ENERGY STAR, EPA, the Department of Energy, the European Community, the European Commission, or any other government body.
- 3. The Common Logo may never be associated with products that do not qualify as ENERGY STAR.
- 4. Partners and other authorized organizations are responsible for their own use of the ENERGY STAR name and Common Logo, as well as use by their representatives, such as ad agencies and implementation contractors.

Using the ENERGY STAR Name

- The ENERGY STAR name should always appear in capital letters;
- The registration symbol ® must be used with the first time the words "ENERGY STAR" appear in material for the U.S. market and:
- The ® symbol should always be in superscript;
- There shall be no space between the words "ENERGY STAR" and the ® symbol;
- The ® symbol shall be repeated in a document for each chapter title or Web page.

Using the Common Logo

The Common Logo is a mark to be used as a label only on those products that meet or exceed ENERGY STAR performance guidelines.

Uses of the Common Logo include:

- On a qualifying and registered product;
- In product literature for a qualifying product;
- On the Web to identify a qualifying product;
- In advertising where it is used near to or on a qualifying product;
- On Point of Purchase materials;
- On qualifying product packaging.

Appearance of the Common Logo

US EPA created this mark to maximize the visual impression of the mark and for contrast and legibility. The mark includes the ENERGY STAR symbol in a block with the ENERGY STAR name in a block directly below to reinforce the legibility of the symbol. The two blocks are separated by a white rule equal in thickness to the arc within the symbol. The mark also has a white key line around it that is also equal in thickness to the arc within the symbol.

Clear Space

US EPA and the EU Commission require that a clear space of .333 (1/3) the height of the graphic box within the mark surround the mark at all times. No other graphic elements, such as text and images can appear in this area. US EPA and the EU Commission require this clear space since the Common logo frequently appears on materials using complex imagery such as other marks, graphic devices, and text.

Minimum Size

The mark may be resized, but the proportions must be maintained. For legibility, we recommend that the mark not be reproduced smaller in width than .375 inch (3/8"; 9.5 mm) for print. Lettering legibility inside the mark must be maintained on the Web.

Preferred Color

The preferred color for the mark is 100% Cyan. Alternate versions in black or reversed out to white are allowed. The Web color equivalent of 100% Cyan is hex color #0099FF. If multicolour printing is available for advertising, product literature, or point of purchase materials the mark should be printed in 100% Cyan. If this color is not available, then black can be substituted.

Incorrect Uses of the Mark

Please:

- Do not use the mark on non-qualifying products.
- Do not alter the mark by using the ENERGY STAR symbol block without the block containing the name "ENERGY STAR".

When reproducing the mark please:

- Do not make the mark an outline.
- Do not use a white mark on a white background.
- Do not change the colors of the mark.
- Do not distort the mark in any way.
- Do not alter the lock up of the mark.
- Do not place the mark on a busy image.

- Do not rotate the mark.
- Do not separate any of the mark's elements.
- Do not substitute any part of the mark.
- Do not use any other typeface to replace part of the mark.
- Do not violate the clear space of the mark.
- Do not skew the mark.
- Do not change the size of the mark lock up.
- Do not replace the approved wording.
- Do not use the Common logo in an unapproved color.
- Do not let text run into the mark.
- Do not use the symbol block alone. The ENERGY STAR name must appear as well.
- Do not delete the symbol block from the mark.

Writing and Talking About ENERGY STAR

To maintain and build the value of ENERGY STAR, U.S. EPA and the EU Commission recommend terminology to use when writing and talking about elements of the program.

CODDECT	DIGODDECT
CORRECT	INCORRECT
ENERGY STAR qualified computer	ENERGY STAR compliant computer
	ENERGY STAR certified computer
	ENERGY STAR rated computer
Computer that has earned the ENERGY STAR	
Products that have earned the ENERGY	ENERGY STAR product
STAR	ENERGY STAR products (referring to a suite of products)
	ENERGY STAR equipment
	Endorsed by US EPA
	Meeting ENERGY STAR standards
PARTNERS/PROGRAM PARTICPANTS	
An ENERGY STAR Partner	An ENERGY STAR company
Company X, an ENERGY STAR Partner	Company X, a company endorsed by US EPA
A company participating in ENERGY STAR	A US EPA approved seller of ENERGY STAR equipment
A company promoting ENERGY STAR	Endorsed by US EPA
ENERGY STAR qualified monitors	ENERGY STAR Monitor Program
GOVERNMENT SOURCE OF AUTHORITY	
Products that earn the ENERGY STAR prevent greenhouse gas emissions by meeting strict energy efficiency guidelines set by the US EPA and the EU Commission	
ENERGY STAR and the ENERGY STAR mark are registered US marks	

ENERGY STAR is a registered mark owned by the US government	
PERFORMANCE GUIDELINES	
ENERGY STAR guidelines	ENERGY STAR Standards
ENERGY STAR specifications	US EPA-approved
ENERGY STAR performance levels	US EPA-endorsed
Voluntary programs	Received an endorsement by US EPA

Questions Regarding the Use of the ENERGY STAR Name and Common Logo

ENERGY STAR Hotline

In the U.S. call toll-free:1-888-STAR-YES (1-888-782-7937)

Outside the U.S. Call: 202-775-6650

Fax: 202-775-6680

www.energystar.gov

EUROPEAN COMMISSION

Directorate-General Energy and Transport

Phone: +32 2 2985792

Fax: +32 2 2966016

www.eu-energystar.org

ANNEX C

COMMON SPECIFICATIONS

I. COMPUTER SPECIFICATIONS

The Computer Specifications contained below shall remain in force not longer than 31 December 2007. After that date computers will not be registered by the ENERGY STAR programme until new computer specifications adopted by the management entities pursuant to Article XII of this Agreement become applicable.

A. Definitions

- 1. <u>Computer:</u> A desktop, tower or mini-tower, or portable unit, including high-end desktop computers, personal computers, workstations, network computer desktops, X terminal controllers, and computer-based point-of-sale retail terminals. To qualify, the unit must be capable of being powered from a wall outlet, but this does not preclude units that are capable of being powered from a wall outlet and also from a battery. This definition is intended primarily to cover computers sold for use in businesses or homes. This definition of a computer does not include computers sold or otherwise marketed as "File Server" or "Server".
- 2. <u>Monitor:</u> A cathode-ray tube (CRT), flat panel display (e.g. a liquid crystal display) or other display device and its associated electronics. A monitor may be sold separately or integrated into the computer chassis. This definition is intended primarily to cover standard monitors designed for use with computers. For purposes of this specification, however, the following may also be considered a monitor: mainframe terminals, and physically separate display units.
- 3. <u>Integrated computer system:</u> Systems in which the computer and visual display monitor are combined into a single unit. Such systems must meet all of the following criteria: it is not possible to measure the power consumption of the two components separately; and the system is connected to the wall outlet through a single power cable.
- 4. <u>Inactivity:</u> A period of time during which a computer does not encounter any user input (e.g. keyboard input or mouse movement).
- 5. <u>Low-power or "sleep" mode:</u> The reduced power state that the computer enters after a period of inactivity.
- 6. <u>Wake events:</u> A user, programmed, or external event or stimulus that causes the computer to transition from its low-power/.sleep. mode to its active mode of operation. Examples of wake events include, but are not limited to, movement of the mouse, keyboard activity or a button press on the chassis and, in the case of external events, stimulus conveyed via a telephone, remote control, network, cable modem, satellite, etc.

B. Product qualification for ENERGY STAR

- 1. Technical specifications
 - (a) <u>Computers</u>: An ENERGY STAR qualified computer shall satisfy the following conditions:

There are two guidelines – A and B – under which a computer can be qualified as ENERGY STAR. The two guidelines have been developed to provide Program Participants with the freedom to approach power management and energy efficiency in different ways.

The following types of computers must be qualified under Guideline A.

- Computers that are shipped with the capability to be on networks such that they can remain in their low-power/sleep mode while their network interface adapter retains the ability to respond to network queries.
- Computers that are not shipped with a network interface capability.
- Computers shipped to a non-networked environment.

EPA expects computers sold or otherwise marketed as personal computers to be qualified under Guideline A only.

Computers that are shipped with the capability to be on networks that currently require the computer's processor and/or memory to be involved in maintaining its network connection while in sleep mode can be qualified under Guideline B. Computers qualifying under Guideline B are expected to maintain identical network functionality in and out of sleep mode.

- (i) Guideline A
 - (a) The computer shall enter a sleep mode after a period of inactivity.
 - (b) If the computer is shipped with the capability to be on a network, it shall have the ability to enter a sleep mode while on the network.
 - (c) If the computer is shipped with the capability to be on a network, it shall retain in sleep mode its ability to respond to wake events directed or targeted to the computer while on a network. If the wake event requires the computer to exit the sleep mode and perform a task, the computer shall re-enter its sleep mode after a period of inactivity after the completion of the task requested. Program Participant may use any means available to achieve the behaviour described in this subsection.
 - (d) The computer shall consume power in the sleep mode according to Table 1.

TABLE 1	
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Maximum continuous power rating of power supply ⁷	Watts in sleep mode
$\leq 200 \ { m W}$	\leq 15 W
$> 200 \text{ W} \le 300 \text{ W}$	\leq 20 W
$> 300 \text{ W} \le 350 \text{ W}$	\leq 25 W
$> 350 \text{ W} \le 400 \text{ W}$	\leq 30 W
> 400 W	10 % of the maximum continuous output rating

Computers that always maintain a level of power consumption of 15 watts or less comply with the power consumption requirements of this Specification, and are not required to incorporate the sleep mode described in Section A.

- (ii) Guideline B
 - (a) The computer shall enter a sleep mode after a period of inactivity.
 - (b) If the computer is shipped with the capability to be on a network, it shall have the ability to enter a sleep mode irrespective of the network technology.
 - (c) The computer shall retain in sleep mode its ability to respond to all types of network requests. There shall be no loss in network functionality available to the user (e.g. the network functionality available to the user during the sleep mode shall be the same as that was available before the computer entered the sleep mode).
 - (d) The computer shall consume in the sleep mode, no more than 15 % of the maximum continuous power rating of its power supply.
- (b) <u>Integrated Computer Systems</u>: An ENERGY STAR qualified integrated computer system shall satisfy the following conditions.
 - (i) The integrated computer system shall enter a sleep mode after a period of inactivity.
 - (ii) If the integrated computer system is shipped with the capability to be on a network, it shall have the ability to enter a sleep mode while on the network.

⁷ The maximum continuous output rating of a power supply is the value defined by the power supply manufacturer in the operating instructions provided with the product.

(iii) If the integrated computer system is shipped with the capability to be on a network, it shall retain in sleep mode its ability to respond to wake events directed or targeted to the computer while on a network. If the wake event requires the computer to exit the sleep mode and perform a task, the integrated computer system shall re-enter its sleep mode after a period of inactivity after the completion of the task requested.

Program Participant may use any means available to achieve the behaviour described in this subsection.

- (iv) An integrated computer system shall consume no more than 35 watts in the sleep mode. Integrated computer systems that always maintain a level of power consumption less than or equal to 35 watts comply with the power consumption requirements of this Agreement and are not required to incorporate the sleep mode described in Section I.A.
- 2. Shipment Settings: In order to ensure that the maximum number of users take advantage of the low-power/"sleep" state, Program Participant shall ship its computers and/or integrated computer systems with the power management feature enabled. The default time for all products shall be pre-set for less than 30 minutes. (EPA recommends that the pre-set time be set between 15 and 30 minutes). The user shall have the ability to change the time settings or disable the sleep/low-power mode.
- 3. Operating Systems: The proper activation of a computer's low-power/"sleep" mode is typically contingent upon the installation and use of a particular version of an operating system. If a computer is shipped from the Program Participant with one or more operating systems, the computer shall be capable of entering and fully recovering from the low-power/"sleep" sleep mode while running in at least one of those operating systems. If the computer is not shipped with operating system software, the Program Participant shall clearly specify which mechanism will render the computer ENERGY STAR qualified. In addition, if any special software, hardware drivers, or utilities are necessary for the proper activation and recovery of the sleep mode, they must be installed in the computer. The Program Participant shall include this information in product literature (e.g. user's manual or data sheets) and/or on its Internet website. Brochures and advertisements shall be worded to avoid misleading statements.
- 4. Monitor Control: The computer shall include one or more mechanisms through which it can activate the low-power modes of an ENERGY STAR qualified monitor. Program Participant shall clearly specify in product literature the manner in which its computer can control ENERGY STAR qualified monitors, and any special circumstances that must exist in order for monitor power management to be accomplished. Program Participant shall set the computer's default to activate the monitor's first low-power or sleep mode within 30 minutes of user inactivity. Program Participant shall also set the default time for the next level of power management such that the monitor enters the second low-power or "deep sleep" mode within 60 minutes of inactivity. The combined total of the default times for both low-power modes shall not exceed 60 minutes. Program Participant can choose to set the computer to activate the monitor to enter the second low-power or "deep sleep" mode directly within 30 minutes of inactivity.

The user shall have the ability to change the time settings or disable the low-power modes for the monitor control. This monitor control requirement does not apply to integrated computer systems. However, integrated computer systems that are marketed and sold as part of a docking system shall have the capability to automatically control the power of an externally connected monitor.

C. Test Guidelines for ENERGY STAR Qualified Computers

1. Test Conditions: Outlined below are the ambient test conditions which should be established when performing the power measurement. These are necessary in order to ensure that outside factors do not affect the test results, and that test results can be reproduced later.

Line Impedance: < 0.25 ohm

Total Harmonic Distortion: < 5%

Voltage:

Input AC Voltage⁸: 115 VAC RMS +/- 5 V RMS

Input AC Frequency⁹60 Hz +/- 3 Hz

Ambient Temperature: 25 deg. C +/- 3 deg. C

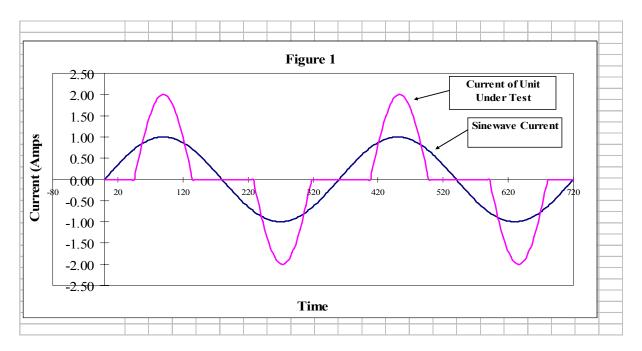
2. Testing Equipment: The goal is to accurately measure the TRUE power consumption¹⁰ of the device or monitor. This necessitates the use of a True RMS Watt-Meter. There are many watt-meters to choose from, but manufacturers will need to exercise care in selecting an appropriate model. The following factors should be considered when purchasing a meter and setting up the actual test.

Crest Factor:

A previous version of the ENERGY STAR testing procedure included a requirement that manufacturers utilize a watt-meter with a crest factor greater than 8. As many Program Participants pointed out, this is not a useful or relevant requirement. The following paragraphs are meant to discuss the issues relating to crest factor and to clarify the intent of the initial incorrect statement. Unfortunately, in order to remedy the error, the ENERGY STAR program cannot provide a specific equipment requirement. Testing is as much art as it is science, and manufacturers and testers will have to exercise judgement, and draw on people well versed in testing issues, to select an appropriate meter.

⁸ If products will be sold in Europe or Asia, testing should also be performed at the appropriate machinerated voltage and frequency. For example, products destined for European markets might be tested at 230 V and 50 Hz. The logo should not be displayed on products shipped to Europe or Asia if the equipment does not meet the power requirements of the Program at the local voltage and frequency conditions.
⁹ Ibid.

¹⁰ True power is defined as (volts)x(amps)x(power factor), and is typically reported as Watts. Apparent Power is defined as (volts)x(amps) and is usually expressed in terms of VA or volt-amps. The power factor for equipment with switching power supplies is always less that 1.0, so true power is always less than apparent power.



To begin, it is important to understanding that devices which contain switching power supplies draw current in a waveform different from typical sinusoidal current.¹¹ Figure 1 shows the typical current waveform for a typical switched electronic device. While virtually any watt-meter can measure a standard current waveform, it is more difficult to select a watt meter when irregular current waveforms are involved.

It is critical that the watt-meter selected be capable of reading the current drawn by the device without causing internal peak distortion (i.e., clipping off the top of the current wave). This requires a review of the meter's crest factor¹², and of the current ranges available on the meter. Better meters will have higher crest factors, and more choices of current ranges.

When preparing the test, the first step should be to determine the peak current (amps) associated with the device being measured. This can be accomplished using an oscilloscope. Then a current range must be selected that will enable the meter to register the peak current. Specifically, the full scale value of the current range selected multiplied by the crest factor of the meter (for current) must be greater than the peak current reading from the oscilloscope. For example, if a watt meter has a crest factor of 4, and the current range is set on 3 amps, the meter can register current spikes of up to 12 amps. If measured peak current is only 6 amps, the meter would be satisfactory. The other concern to be aware of is that if the current range is set too high in order to register peak current, it may lose accuracy in measuring the non-peak current. Therefore, some delicate balancing is necessary. Again, with more current range choices and higher crest factors you will get better results.

Frequency Response:

¹¹ The crest factor for a sinusoidal 60 Hz current waveform is always 1.4. The crest factor for a current waveform associated with a PC or monitor containing a switching power supply will always be greater than 1.4 (though typically no higher than 8). The crest factor of a current waveform is defined as the ratio of the peak current (amps) to the RMS current (amps).

¹² The crest factor of a watt meter is often provided for both current and voltage. For current it is the ratio of the peak current to the RMS current in a specific current range. When only one crest factor is given, it is usually for current. An average True RMS Wattmeter has a crest factor in the range of 2:1 to 6:1.

Another issue to consider when selecting a watt-meter is the frequency response rating of the meter. Electronic equipment that contains switching power supplies causes harmonics (odd harmonics typically up to the 21st). These harmonics must be accounted for in power measurement, or the Wattage consumption will be inaccurate. Accordingly, ENERGY STAR recommends that manufacturers purchase watt-meters that have a frequency response of at least 3 kHz. This will account for harmonics up to the 50th, and is recommended by IEC 555.

Resolution:

Manufacturers will probably want a meter than can provide resolution of 0.1 W.

Accuracy:

Another feature to consider is the resulting accuracy you will be able to achieve. Catalogues and specification sheets for watt-meters typically provide information on the accuracy of power readings that can be achieved at different range settings. If you are measuring a product that is very close to the maximum energy consumption for the mode being tested, you will need to set up a test that will provide greater accuracy.

Calibration:

Watt meters should be calibrated every year to maintain their accuracy.

3. <u>Test Method:</u> Manufacturers should measure the Average power consumption of the devices when in the off or low-power modes. This should be done by measuring the Energy consumption over a 1-hour period. The resulting energy consumption can be divided by 1 hour to calculate average Watts.

<u>Power Measurement for Energy Saving Modes:</u> This test should be conducted for each of the energy saving modes (e.g, low-power, off, standby, sleep) applicable to a particular device for ENERGY STAR qualification. Prior to the start of this test, the machine should have been plugged in to a live power line but turned off and stabilized at room ambient conditions for at least 12 hours. An appropriate watt-hour meter should be in line with the machine, ready to give an accurate indication of machine energy consumption without disruption of the power source. This measurement may be done sequentially with the off-mode power measurement; the two tests together should take no more than 14 hours to perform, including the time required for the machine to be plugged in and turned off.

Turn on the device, and let it go through its warm-up cycle. After the default time to the energy saving mode has passed, read and record the watt-hour meter indication and the time (or start the stopwatch or timer). After 1 hour, read and record the watt-hour indication again. The difference between the two readings of the watt-hour meter is the low-power mode energy use; divide by 1 hour to obtain the average power rating.

II. COMPUTER MONITOR SPECIFICATIONS

A. Definitions

1. <u>Computer Monitor (also referred to as "Monitor")</u>: A commercially-available, electronic product with a display screen and its associated electronics encased in a

single housing that is capable of displaying output information from a computer via one or more inputs, such as VGA, DVI, and/or IEEE 1394. The monitor usually relies upon a cathode-ray tube (CRT), liquid crystal display (LCD), or other display device. This definition is intended primarily to cover standard monitors designed for use with computers. To qualify, the computer monitor must have a viewable diagonal screen size greater than 12 inches and must be capable of being powered by a separate AC wall outlet or a battery unit that is sold with an AC adapter. Computer monitors with a tuner/receiver may qualify as Energy Star under this specification as long as they are marketed and sold to consumers as computer monitors (i.e., focusing on computer monitor as the primary function) or as dual function computer monitors and televisions. However, products with a tuner/receiver and computer capability that are marketed and sold as televisions are not included in this specification.

- 2. <u>On Mode/Active Power</u>: The product is connected to a power source and produces an image. The power requirement in this mode is typically greater than the power requirement in Sleep and Off Modes.
- 3. <u>Sleep Mode/Low Power</u>: The reduced power state that the computer monitor enters after receiving instructions from a computer or via other functions. A blank screen and reduction in power consumption characterize this mode. The computer monitor returns to On Mode with full operational capability upon sensing a request from a user/computer (e.g., user moves the mouse or presses a key on the keyboard).
- 4. <u>Off Mode/Standby Power</u>: The lowest power consumption mode which cannot be switched off (influenced) by the user and that may persist for an indefinite time when a computer monitor is connected to the main electricity supply and used in accordance with the manufacturer's instructions. For purposes of this specification, Off Mode is defined as the power state when the product is connected to a power source, produces no images, and is waiting to be switched to On Mode by a direct signal from a user/computer (e.g., user pushes power switch)¹³.
- 5. <u>Hard Off Mode</u>: A condition where the product is still plugged into the mains, but has been disconnected from an external power source. This mode is usually engaged by the consumer via a "hard off switch." While in this mode, a product will not draw any electricity and will usually measure 0 watts when metered.
- 6. <u>Disconnect</u>: The product has been unplugged from the mains and therefore is disconnected from all external power sources.

B. Qualifying Products

In order to qualify as ENERGY STAR, a computer monitor model must meet the definition in Section A, and the specification requirements provided in Section II.C, below. As explained in Section II.A.1, this specification does not cover products with computer capability that are marketed and sold as televisions.

¹³ This definition is consistent with IEC 62301: Household Electrical Appliances – Measurement of Standby power as of March 2004.

C. Energy-Efficiency Specifications for Qualifying Products

Only those products listed in Section II.B that meet the following criteria may qualify as ENERGY STAR.

Widescreen Models: Widescreen (e.g., 16:9, 15:9, etc.) models are eligible to earn the ENERGY STAR, provided that they meet the energy-efficiency requirements in these specifications. There are no separate specifications for widescreen models and as such, they must comply with Sections II.C.1 and II.C.2, below.

1. On Mode/Active Power: To qualify as ENERGY STAR, computer monitor models must not exceed the following maximum active power consumption equation: If X < 1 megapixel, then Y = 23; if X > 1 megapixel, then Y = 28X. Y is expressed in watts and rounded up to the nearest whole number and X is the number of megapixels in decimal form (e.g., 1,920,000 pixels = 1.92 megapixels). For example, the maximum power consumption for a computer monitor with 1024 x 768 resolution (or .78 megapixels) would be Y = 23 watts and for a computer monitor with 1600 x 1200 resolution would be 28(1.92) = 53.76 or 54 watts when rounded up

To qualify a computer monitor as ENERGY STAR, it must be tested according to the protocol outlined in Section II.D, Test Methodology.

- 2. Sleep and Off Modes
 - (a) Maximum power consumption levels for Sleep and Off Modes are provided in Table 2 below. Computer monitors capable of multiple Sleep Modes (i.e., Sleep and Deep Sleep) shall meet the Sleep Mode requirement below in all such modes. For example, a computer monitor tested at 4 watts in Sleep and 2 watts in Deep Sleep would not qualify because one of the Sleep Modes exceeds 2 watts.
 - (b) <u>Sleep Mode Exception</u>: Computer monitors that have the capability to proceed automatically from On Mode/Active Power to an Off Mode/Standby Power of 1 watt or less comply with these energy consumption requirements. The computer monitor's Off Mode/Standby Power must be activated within 30 minutes of user inactivity or as otherwise defined in future versions of the Computer Specification. Upon resumption of user activity (e.g., user moves the mouse or presses a key on the keyboard), the computer monitor must return to full operational capability. In other words, a Sleep Mode is not necessary if the computer monitor can proceed from On Mode/Active Power to Off Mode/Standby Power and meet the ENERGY STAR requirements in the Off Mode/Standby Power.

Sleep Mode	\leq 2 watts
Off Mode	≤ 1 watt

(c) <u>Sleep Mode Enabling</u>: Energy savings from the computer monitor's Sleep Mode can only be achieved if this power-saving mode is enabled. Enabling and default times are driven by the computer; where feasible (e.g., where monitor

manufacturer has a business relationship with specific computer manufacturers or where monitor manufacturer also sells its own computers or bundled products), monitor manufacturer should ensure that ENERGY STAR qualified computer monitors have their Sleep Modes enabled when shipped to the customer. Further, the computer shall activate the computer monitor's Sleep Mode within 30 minutes of user inactivity or as otherwise defined. If a computer monitor has the capability to proceed automatically from On Mode/Active Power to Off Mode/Standby Power, then, consistent with the Sleep Mode requirements, the computer monitor's Off Mode/Standby Power must be activated within 30 minutes of user inactivity or as otherwise defined.

D. Test Methodology

Product Testing Set-up, Methodology, and Documentation: The testing and measurement methods below reference published specifications from the Video Electronics Standards Association (VESA) Display Metrology Committee and the International Electrotechnical Commission (IEC), and supplement those guidelines where necessary with methods developed in cooperation with the computer monitor industry.

Manufacturers are required to perform tests and self-certify those product models that meet the ENERGY STAR guidelines. Families of computer monitor models that are built on the same chassis and are identical in every respect but housing and color may be qualified through submission of test data for a single, representative model. Likewise, models that are unchanged or that differ only in finish from those sold in a previous year may remain qualified without the submission of new test data, assuming the specification remains unchanged.

The power requirement shall be measured from the outlet or power source to the product under test. The average true power consumption of the computer monitor shall be measured during the On Mode/Active Power, the Sleep Mode/Low Power, and the Off Mode/Standby Power. When performing measurements to self-certify a product model, the product being tested must initially be in the same condition (e.g., configuration and settings) as when shipped to the customer, unless adjustments need to be made pursuant to instructions below.

To ensure a consistent means for measuring the power consumption of electronics products, the following protocol must be followed, which has three main components:

Product Testing Set-up and Conditions: Outlined below in Sections 1(a) through (h) are the ambient test conditions and measurement protocols that must be respected when performing power measurements.

Product Testing Methodology: The actual test steps for measuring power in On Mode/Active Power, Sleep Mode/Low Power, and Off Mode/Standby Power are provided in Section 2(a), below.

Product Testing Documentation: Documentation requirements for submittal of qualified product data are detailed in Section 3, below.

This protocol ensures that outside factors do not adversely affect the test results and that the test results can be consistently reproduced. Manufacturers may elect to use an in-house or independent laboratory to provide the test results.

1. Product Testing Set-up and Conditions

Supply Voltage ¹⁴ :	Europe:	230 (± 1%) Volts AC, 50 Hz (± 1%)
vonage.	North America:	115 (± 1%) Volts AC, 60 Hz (± 1%)
	Australia/New Zealand:	230 (± 1%) Volts AC, 50 Hz (± 1%)
	Japan:	100 (± 1%) Volts AC, 50 Hz (± 1%)/60 Hz (± 1%)
Total Harmonic Distortion (Voltage):	< 2% THD	
Ambient Temperature:	$20^{\circ}C \pm 5^{\circ}C$	
Relative Humidity:	30 - 80 %	
Line Impedance:	< 0.25 ohm	

(a) <u>Test Conditions</u>: General Criteria

(Reference IEC 62301: Household Electrical Appliances – Measurement of Standby Power, Sections 3.2, 3.3 and VESA Flat Panel Display Measurements (FPDM) Standard 2.0, Section 301-2)

- (b) <u>Dark Room Conditions</u>: When performing light measurements, the computer monitor shall be located in a dark room condition. The computer monitor screen illuminance measurement (E), when in Off Mode/Standby Power, must be 1.0 Lux or less. Measurements should be made at a point perpendicular to the center of the screen using a Light Measuring Device (LMD) with the computer monitor in Off Mode/Standby Power (Reference VESA FPDM Standard 2.0, Section 301-2F).
- (c) <u>Color Controls and Peripherals</u>: All color controls (hue, saturation, gamma, etc.) shall be placed at their factory default settings. No external devices shall be connected to any included Universal Serial Bus (USB) hubs or ports. Any built-in speakers, TV tuners, etc. may be placed in their minimum power

¹⁴ Supply Voltage: Manufacturers shall test their computer monitors based on the market in which the models will be sold. Manufacturers must ensure that qualifying products marketed and sold in any region as ENERGY STAR do not exceed the power levels declared on the Qualifying Product Information (QPI) form (and stored in the ENERGY STAR database) at the standard mains voltage and frequency conditions of that region. For equipment that is sold in multiple international markets and therefore rated at multiple input voltages, the manufacturers must test at and report all relevant voltages and power consumption levels if it intends to register the product as ENERGY STAR in the respective markets. For example, a manufacturer that is shipping the same computer monitor model to the United States and Europe must measure and report the On, Sleep, and Off power consumption at both 115 Volts/60 Hz and 230 Volts/50 Hz.

configuration, as adjustable by the user, to minimize power use not associated with the display itself. Circuit removal or other actions not under user control may not be taken to minimize power use.

- (d) Power Measurement Test Conditions: CRT pixel format shall be set at the preferred pixel format with the highest resolution that is intended to be driven at a 75 Hz refresh rate. A VESA Discrete Monitor Timing (DMT) or newer industry standard pixel format timing must be used for the test. The CRT monitor must be capable of meeting all its manufacturer-stated quality specifications in the tested format. For LCDs and other fixed pixel technologies, pixel format shall be set to the native level. LCD refresh rate shall be set to 60 Hz, unless a different refresh rate is specifically recommended by the manufacturer, in which case that rate shall be used.
- (e) Power Measurement Protocols: Computer monitor power consumption shall be measured in watts with an imposed test pattern. Warm-up time shall be a minimum of a 20-minute period (Reference VESA FPDM Standard 2.0, Section 301-2D or 305-3 for warm-up test). A true RMS power meter with a crest factor of at least five shall be used to measure the power use of each randomly chosen unit at one or more, as appropriate, of the voltage/frequency combinations provided in Section II.D.1(a) (Reference VESA Standard: Display Specifications and Measurement Procedures, Version 1.0, Revision 1.0, Section 8.1.3). Measurements shall be taken after wattage values are stable over a threeminute period. Measurements are considered stable if the wattage reading does not vary more than 1% over the three-minute period (Reference IEC 4.3.1). (Manufacturers shall ignore the input sync signal check cycle when metering the model in Sleep Mode/Low Power and Off Mode/Standby Power.) Manufacturers shall use calibrated measuring equipment capable of measurements accurate to one-tenth of a watt or better.

Borrowing from European Norm 50301 (Reference BSI 03-2001, BS EN 50301:2001, Methods of Measurement for the Power Consumption of Audio, Video, and Related Equipment, Annex A), EPA has established a test procedure where the number of units required for test depends on the test results for the first unit. For the purposes of ENERGY STAR, if a tested computer monitor uses at least 15% less power (i.e., greater than or equal to 15%) than the ENERGY STAR specification in all three operating modes (On Mode/Active Power, Sleep Mode/Low Power, and Off Mode/Standby Power), then it only has to be tested once. However, if a tested computer monitor is within 15% (i.e., less than 15%) of the ENERGY STAR specification in any of the three operating modes, then two more units have to be tested. None of the test values may exceed the ENERGY STAR specification for the model to qualify as ENERGY STAR. All of the test results as well as the average values (based on the three or more data points) must be reported on an ENERGY STAR Qualifying Product Information (QPI) form. The following example further illustrates this approach:

- EXAMPLE: For simplicity, assume the specification is 100 watts or less and only applies to one operational mode. 85 watts would represent the 15% threshold.
- If the first unit is measured at 80 watts, no more testing is needed and the model qualifies (80 watts is at least 15% more efficient than the specification and is "outside" the 15% threshold).
- If the first unit is measured at 85 watts, no more testing is needed and the model qualifies (85 watts is exactly 15% more efficient than the specification).
- If the first unit is measured at 90 watts, then two more units must be tested to determine qualification (90 watts is only 10% more efficient than the specification and is "within" the 15% threshold).
- If three units are tested at 90, 98, and 105 watts, the model does not qualify as ENERGY STAR—even though the average is 98 watts— because one of the values (105) exceeds the ENERGY STAR specification.
 - Luminance Test Patterns and Procedures: For CRT monitors, the technician (f) shall initiate the AT01P (Alignment Target 01 Positive Mode) pattern (VESA FPDM Standard 2.0, A112-2F, AT01P) for screen size and use it to set the computer monitor to the manufacturer's recommended image size, which is typically slightly smaller than maximum viewable screen size. Then, test pattern (VESA FPDM Standard 2.0, A112-2F, SET01K) shall be displayed that provides eight shades of gray from full black (0 volts) to full white (0.7 volts).¹⁵ Input signal levels shall conform to VESA Video Signal Standard (VSIS), Version 1.0, Rev. 2.0, December 2002. The technician shall adjust (where feasible) the computer monitor brightness control downward from its maximum until the lowest black bar luminance level is just slightly visible (VESA FPDM Standard 2.0, Section 301-3K). The technician shall then display a test pattern (VESA FPDM Standard 2.0, A112-2H, L80) that provides a full white (0.7 volts) box that occupies 80% of the image. The technician shall then adjust the contrast control until the white area of the screen provides at least 100 candelas per square meter of luminance, measured according to VESA FPDM Standard 2.0, Section 302-1.

For all Fixed Pixel displays (e.g., LCDs and others), test pattern (VESA FPDM Standard 2.0, A112-2F, SET01K) shall be displayed that provides eight shades of gray from full black (0 volts) to full white (0.7 volts).2 Input signal levels shall conform to VESA Video Signal Standard (VSIS), Version 1.0, Rev. 2.0, December 2002. With the brightness and contrast controls at maximum, the

¹⁵ Corresponding voltage values for digital only interface monitors that correspond to the brightness of the image (0 to 0.7 volts) are:

0 volts (black) = a setting of 0

^{0.1} volts (darkest shade of gray analogue) = 36 digital gray

^{0.7} volts (full white analogue) = 255 digital gray

Please note that future digital interface specifications may widen this range, but in all cases, 0 volts shall correspond to black and the maximum value shall correspond to white, with 0.1 white volts corresponding to one-seventh of the maximum value.

technician shall check that, at a minimum, the white and near white gray levels can be distinguished. If white and near white gray levels cannot be distinguished, then contrast shall be adjusted until they can be distinguished. The technician shall next display a test pattern (VESA FPDM Standard 2.0, A112-2H, L80) that provides a full white (0.7 volts) box that occupies 80% of the image. The technician shall then adjust the brightness control until the white area of the screen provides at least 175 candelas per square meter of luminance, measured according to VESA FPDM Standard 2.0, Section 302-1. [If computer monitor's maximum luminance is less than 175 candelas per square meter (e.g., 150), then technician shall use the maximum luminance (e.g., 150) and report the value to EPA with other required testing documentation. Similarly, if the computer monitor's minimum luminance is greater than 175 candelas per square meter (e.g., 200), then technician shall use the minimum luminance (e.g., 200) and report the value on the ENERGY STAR QPI form.

- (g) Light Measurement Protocols: When light measurements, such as illuminance and luminance, need to be made, a LMD shall be used with the computer monitor located in dark room conditions. The LMD shall be used to make measurements at the center of, and perpendicular to the computer monitor screen (Reference VESA FPDM Standard 2.0, Appendix A115). The screen surface area to be measured shall cover at least 500 pixels, unless this exceeds the equivalent of a rectangular area with sides of lengths equal to 10% of the visible screen height and width (in which case this latter limit applies). However, in no case may the illuminated area be smaller than the area the LMD is measuring (Reference VESA FPDM Standard 2.0, Section 301-2H).
- (h) <u>Display Set-up and Characterization</u>: The computer monitor test sample characteristics shall be recorded prior to the test. The following information shall be recorded at a minimum:

Product Description/Category (e.g., 17-inch computer monitor with white housing)	
Display Technology (e.g., CRT, LCD, Plasma)	
Brand Name/Manufacturer	
Model Number	
Serial Number	
Rated Voltage (VAC) and Frequency (Hz)	
Viewable Diagonal Size (inches)	
Aspect Ratio (e.g., 4:3)	
Recommended Image Size (actual size tested) Width X Height	
Viewing Angle (horizontal and vertical degrees)	

Screen Refresh Rate (during test) (Hz)		
Number of Pixels as Tested (horizontal)		
Number of Pixels as Tested (vertical)		
Maximum Claimed Resolution (horizontal)		
Maximum Claimed Resolution (vertical)		
Analog, Digital, or Both Interfaces		
Instrumentation Information (e.g., type of signal generator)		

- 2. Product Testing Methodology
 - (a) <u>Test Method</u>: Following are the test steps for measuring the true power requirements of the test unit in On Mode/Active Power, Sleep Mode/Low Power, and Off Mode/Standby Power. Manufacturers are required to test their computer monitors using the analog interface, except in those cases where one is not provided (i.e., digital interface monitors, which are defined as only having a digital interface for purposes of this test method). For digital interface monitors, please see Footnote 8 for voltage information and then follow the test method below using a digital signal generator.
 - (i) On Mode/Active Power
 - (a) Connect the test sample to the outlet or power source and test equipment. For computer monitors shipped with an external power supply, the external power supply (as opposed to a reference power supply) must be used in the test.
 - (b) Power on all test equipment and properly adjust power source voltage and frequency.
 - (c) Check for normal operation of the test unit and leave all customer adjustments set to factory default settings.
 - (d) Bring the test unit into On Mode/Active Power either by using the remote control device or by using the ON/OFF switch on the test unit cabinet. Allow the unit under test to reach operating temperature (approximately 20 minutes).
 - (e) Set the proper display mode. Refer to Section II.D.1(d), Power Measurement Test Conditions.
 - (f) Provide dark room conditions. See Sections II.D.1(g), Light Measurement Protocols, and B, Dark Room Conditions.

- (g) Set size and luminance. Refer to Section II.D.1(f), Luminance Test Patterns and Procedures for CRT or Fixed Pixel displays. Once luminance is set, dark room conditions are no longer needed.
- (h) Either verify that the wall outlet power is within specifications or adjust the AC power source output as described in Section II.D.1(a) (e.g., $115V \pm 1\%$, $60Hz \pm 1\%$).
- (i) Set the power meter current range. The full-scale value selected multiplied by the crest factor rating (Ipeak/Irms) of the meter must be greater than the peak current reading from the oscilloscope.
- (j) Allow the readings on the power meter to stabilize and then take the true power reading in watts from the power meter. Measurements are considered stable if the wattage reading does not vary more than 1% over the three-minute period. See Section II.D.1(e), Power Measurement Protocols.
- (k) Power consumption shall be recorded, as well as total pixel format (horizontal x vertical pixels displayed), to calculate pixels/watt.
- (1) Record the test conditions and test data.
- (ii) Sleep Mode/Low Power (Power Switch On, No Video Signal)
 - (a) At the conclusion of the On Mode/Active Power test, initiate the computer monitor's Sleep Mode/Low Power. The method of adjustment shall be documented along with the sequence of events required to reach the Sleep Mode/Low Power. Power on all test equipment and properly adjust operation range.
 - (b) Allow the computer monitor to remain in Sleep Mode/Low Power until stable power readings are measured. Measurements are considered stable if the wattage reading does not vary more than 1% over the three-minute period. Manufacturers shall ignore the input sync signal check cycle when metering the model in Sleep Mode/Low Power.
 - (c) Record the test conditions and test data. The measurement time shall be sufficiently long to measure the correct average value (i.e., not peak or instantaneous power). If the device has different Sleep Modes that can be manually selected, the measurement should be taken with the device in the most energy consumptive of those modes. If the modes are cycled through automatically, the measurement time should be long enough to obtain a true average that includes all modes.
- (iii) Off Mode/Standby Power (Power Switch Off)
 - (a) At the conclusion of the Sleep Mode/Low Power test, initiate the computer monitor's Off Mode/Standby Power. If only one power switch is provided (i.e., a soft off or a hard off), press that switch; if

two power switches are provided (i.e., a soft off AND a hard off), press the soft off switch. The method of adjustment shall be documented along with the sequence of events required to reach the Off Mode/Standby Power. Power on all test equipment and properly adjust operation range.

- (b) Allow the computer monitor to remain in Off Mode/Standby Power until stable power readings are measured. Measurements are considered stable if the wattage reading does not vary more than 1% over the three-minute period. Manufacturers shall ignore the input sync signal check cycle when metering the model in Off Mode/Standby Power.
- (c) Record the test conditions and test data. The measurement time shall be sufficiently long to measure the correct average value (i.e., not peak or instantaneous power).
- 3. Product Testing Documentation

Submittal of Qualified Product Data: Partners are required to self-certify those product models that meet the Energy Star guidelines and report information on an ENERGY STAR QPI form. ENERGY STAR qualifying product data, including information about new as well as discontinued models, must be provided on an annual basis, or more frequently if desired by the manufacturer.

E. User Interface

Manufacturers are strongly recommended to design products in accordance with the user interface standards being developed by the Power Management Controls project to make power controls more consistent and intuitive across all electronic devices. For details on this project, see <u>http://eetd.LBL.gov/Controls</u>.

III. PRINTER, FAX MACHINE, AND MAILING MACHINE SPECIFICATIONS

The following Printer, Fax Machine, and Mailing Machine Specifications shall be applicable until 31 March 2007.

A. Definitions

- 1. <u>Printer</u>: Imaging equipment, manufactured as a standard model, that serves as a hard-copy output device, and is capable of receiving information from single-user or networked computers. In addition, the unit must be capable of being powered from a wall outlet. This definition is intended to cover products that are advertised and sold as printers including printers that can be upgraded to a multifunction device (MFD).¹⁶
- 2. <u>Fax Machine</u>: Imaging equipment, manufactured as a standard model, that serves as a hard copy output device whose primary function is sending and receiving information. Plain paper fax machines are covered under this Specification (e.g., ink jet/bubble jet,

¹⁶ Note that once a printer base unit is upgraded to an MFD (for example, a photocopier unit is added), the entire product must qualify according to the ENERGY STAR MFD Specification in order for the product to remain ENERGY STAR qualified

laser/LED, and thermal transfer). The unit must be capable of being powered from a wall outlet. This definition is intended to cover products that are advertised and sold as fax machines.

- 3. <u>Combination Printer/Fax Machine</u>: Imaging equipment manufactured as a standard model that serves as both a fully-functional printer and fax machine, as defined above. This definition is intended to cover products that are marketed and sold as a combination printer/fax device.
- 4. <u>Mailing Machine</u>: Imaging equipment that serves to print postage onto mail pieces. The unit must be capable of being powered from a wall outlet. This definition is intended to cover products that are advertised and sold as mailing machines.
- 5. <u>Print Speed</u>: Pages per minute (ppm) measures the printing speed of a model. Print speed corresponds to the product's print speed as advertised by Program Participant. For Line Printers (e.g., dot matrix/impact printers), print speed is based on the method established in ISO 10561.

For wide format printers designed to handle primarily A2 or 17"x32" paper or larger, the print speed is specified in terms of monochrome text output at the default resolution. The print speed measured as A2 or A0-sized prints per minute, shall be converted into A4-sized print speeds as follows: (a) One A2 print per minute is equivalent to four A4 prints per minute; (b) One A0 print per minute is equivalent to 16 A4 prints per minute.

For mailing machines, pages per minute (ppm) are considered equivalent to mail pieces per minute (ppm).

- 6. <u>Accessory</u>: A piece of additional equipment that is not necessary for the standard operation of the base unit, but may be added before or after shipping in order to enhance or change printer performance. Examples of accessories include finishers, sorters, additional paper supply devices, and duplex units. An accessory may be sold separately under its own model number, or sold with a base unit as part of a printer.
- 7. <u>Active Mode</u>: The condition (or mode) in which the product is producing hard copy output or receiving hard copy input. The power requirement in this mode is typically greater than the power requirement in standby mode.
- 8. <u>Standby Mode</u>: The condition that exists when the product is not producing hard copy output or receiving hard copy input and is consuming less power than when producing such output or receiving such input. The transition from Standby Mode to Active Mode should cause no noticeable delay in the production of hard copy output.
- 9. <u>Sleep Mode</u>: The condition that exists when the product is not producing hard copy output or receiving hard copy input and is consuming less power than when in standby mode. In the transition from Sleep Mode to Active Mode, there may be some delay in the production of hard copy output, however there shall be no delay in the acceptance of information from a network or other input sources. The product enters this mode within a specified time period after the last hard copy output was produced.
- 10. <u>Default Time To Sleep Mode</u>: The time period set by the Program Participant prior to shipping that determines when the product will enter the Sleep Mode. The default

time shall be measured from the time that the last piece of hard copy output was produced.

- 11. <u>Duplexing</u>: The process of producing text, an image, or a combination of text and image on both sides of a single sheet of paper.
- 12. <u>Standard Model</u>: The term used to describe a product and its bundled features as marketed and sold by the Program Participant and as manufactured for its intended use.
- 13. <u>Wake Event</u>: As used in this agreement, a "wake event" is defined as a user, programmed, or external event or stimulus that causes the unit to transition from its standby or Sleep Mode to its active mode of operation. A "wake event" as defined in this Specification does not include network related polling queries or "pings" that commonly occur in network environments.

B. Product Qualification for ENERGY STAR

- 1. Technical Specifications
 - (a) <u>Sleep Mode</u>: ENERGY STAR Program Participant agrees that only those products that are capable of entering a Sleep Mode after a period of inactivity or maintain a level of power consumption at or below the levels of power specified in Tables 3 through 8 (below), may qualify as ENERGY STAR.
 - (b) <u>Default Time</u>: ENERGY STAR Program Participant agrees to set the product's default time to activate the Sleep Mode within the time specified in Tables 3 through 8 (below) from the completion of the last job (e.g., from the time that the last piece of hard copy output was produced). Program Participant also shall ship products with the default time for the Sleep Mode set to the levels specified in Tables 3 through 8 (below).
 - (c) <u>Network Functionality</u>: ENERGY STAR Program Participant agrees to qualify products as they are intended to be used (Section III.A.12 above) by the end-user, particularly products intended to be connected to a network. ENERGY STAR Program Participant agrees that all products marketed, advertised, or sold as network-capable must meet the ENERGY STAR specifications (below) when configured as network-ready (i.e., with network functionality).
 - (i) If the product is shipped with the capability to be on a network, it shall have the ability to enter a Sleep Mode while on the network.
 - (ii) If the product has the capability to be on a network, it shall retain in Sleep Mode its ability to respond to wake events directed or targeted to the product while on a network.
 - (d) <u>Duplexing</u>: For all standard-size printers above 10 ppm in which a duplexing unit is installed, it is recommended that ENERGY STAR Program Participant educate its customers about using their printers with duplex set as the default printing mode. Education may consist of information about the appropriate printer driver and print menu setup in the product manuals, or by providing specific instructions about the printer driver when a duplexing unit is installed.

(e) <u>Detailed Specifications</u>: ENERGY STAR Program Participant agrees to qualify products according to the following specifications:

TABLE 3

Stand Alone Fax Machines (designed to accommodate primarily A4 or 8.5" x 11" sized paper)

Product Speed In	Sleep Mode (Watts)	Default Time To Sleep Mode
Pages Per Minute		
(ppm)		
0 < ppm ≤ 10	≤ 10	\leq 5 minutes
10 < ppm	≤ 15	\leq 5 minutes

TABLE 4

Mailing Machines

Product Speed In Mail	Sleep Mode (Watts)	Default Time To Sleep Mode
Pieces Per Minute		
(mppm)		
0 < mppm ≤ 50 mppm	≤ 10	\leq 20 minutes
50 < ppm ≤ 100 mppm	≤30	\leq 30 minutes
100 < mppm ≤ 150 mppm	\leq 50	\leq 40 minutes
150 < mppm	≤ 8 5	\leq 60 minutes

TABLE 5

Standard Size Printers and Printer/Fax Combinations*

Product Speed In	Sleep Mode (Watts) ¹⁷	Default Time To Sleep Mode
Pages Per Minute		
(ppm)		
0 < ppm ≤ 10	≤10	\leq 5 minutes
10 < ppm ≤ 20	≤20	\leq 15 minutes
20 < ppm ≤ 30	\leq 30	\leq 30 minutes
30 < ppm ≤ 44	≤40	\leq 60 minutes
44 < ppm	≤75	\leq 60 minutes

(designed to accommodate primarily A3, A4, or 8.5" x 11" sized paper)

* Including monochrome electrophotography, monochrome thermal transfer, and monochrome and color ink jet.

TABLE 6

Impact Printers designed to accommodate primarily A3 paper

Sleep Mode (Watts)	Default Time To Sleep Mode
≤28	\leq 30 minutes

¹⁷

For printers that utilize a functionally integrated computer, whether contained within or outside of the printer cabinet, the power consumption of the computer does not have to be included when determining the Sleep Mode value of the printer unit. However, the integration of the computer must not interfere with the ability of the printer to enter or exit its Sleep Mode state. This provision is conditioned upon the manufacturer agreeing to provide potential customers with product literature that clearly states that the power consumption by the integrated computer is in addition to the power consumer by the printer unit, especially when the printer unit is in Sleep Mode.

TABLE 7

Large/Wide-Format Printers

(designed to accommodate primarily A2 or 17" x 22", or larger paper)

Product Speed In	Sleep Mode (Watts)	Default Time To Sleep Mode
Pages Per Minute		
(ppm)		
0 < ppm ≤ 10	<i>≤</i> 35	\leq 30 minutes
10 < ppm ≤ 40	≤ 65	\leq 30 minutes
40 < ppm	≤ 100	\leq 90 minutes

TABLE 8

Color Printers*

(designed to accommodate primarily A3, A4, or 8.5" x 11" sized paper)

Product Speed In Color	Sleep Mode (Watts)	Default Time To Sleep Mode
Pages Per Minute		
(ppm)		
0 < ppm ≤ 10	≤35	\leq 30 minutes
$10 < ppm \le 20$	≤45	\leq 60 minutes
20 < ppm	≤ 70	\leq 60 minutes

* Including color electrophotography and color thermal transfer.

2. Exceptions and Clarifications

After shipping, the ENERGY STAR Program Participant or its designated service representative shall not alter the models covered by this Specification in any way that will affect the products' ability to meet the specifications outlined above. Two exceptions follow:

- (a) <u>Default Times</u>: After shipping, the ENERGY STAR Program Participant, designated service representative, or customer may change the default times for the Sleep Mode, up to a factory-set maximum of 240 minutes. If a manufacturer chooses to design products with more than one power management mode, then the combined total of the default times shall not exceed 240 minutes.
- (b) <u>Disabling the Sleep Mode</u>: In an individual case where the Sleep Mode is causing a customer sizeable inconvenience due to his/her particular usage patterns, the Program Participant, designated service representative, or customer may disable this Sleep Mode feature. If the Program Participant chooses to design its product models to allow the customer to disable the Sleep Mode feature, then the disable option shall be accessed in a manner different from the time settings. E.g., if a software menu provides Sleep Mode delay times of 15, 30, 60, 90, 120, and 240 minutes, then "disable" or "off" shall not be a choice in this menu. It shall be a hidden (or less obvious) choice or included in a different menu.

C. Test Guidelines

1. Test Conditions: Outlined below are the ambient test conditions which should be established when performing the power measurement. These are necessary in order to ensure that outside factors do not affect the test results, and that test results can be reproduced later.

Line Impedance: < 0.25 ohm

Total Harmonic Distortion: < 5%

(Voltage)

Ambient Temperature: 25 deg. C +/- 3 deg. C

Input AC Voltage: 115 VAC RMS +/- 5 V RMS

Input AC Frequency: 60 Hz +/- 3 Hz

- 2. Testing Equipment: The provisions of Section I.C.2 apply.
- 3. Test Method: The provisions of Section I.C.3 apply.

IV. COPIER SPECIFICATIONS

The following Copier Specifications shall be applicable until 31 March 2007.

A. Definitions

1. <u>Copier</u>: A commercial reprographic imaging unit whose sole function is the production of duplicates from a graphic hard copy original. A copier must include a marking system, an imaging system, and a paper handling module. All black and white plain paper copier technologies are covered under this Specification, though the intent is to focus on widely-used standard copier equipment such as light lens copiers. The Specifications outlined below apply to standard-sized copiers designed to handle

A4 or 8.5" x 11" paper and large format copiers designed to handle A2 or 17" x 22" paper or larger.

2. <u>Copier Speed</u>: Copies per minute (cpm) measures the reproduction speed of the copier. One copy is defined as one 8.5" x 11" or A4-sized page. Double-sided copies are considered as two images and therefore two copies even though they are copied onto one piece of paper. For all copier models sold in the U.S. market, measurement of copier speed shall be based on 8.5" x 11" letter-sized paper. For copiers sold in markets other than the U.S., copier speed shall be based on either 8.5" x 11" or A4-sized paper, depending on which is standard in a particular market.

For large format copiers designed to handle primarily A2 or 17" x 22" paper or larger, the copier speed measured as A2- or A0-sized copies per minute shall be converted to A4-sized copier speeds as follows: (a) One A2 copy per minute is equivalent to four A4 copies per minute, and (b) One A0 copy per minute is equivalent to 16 A4 copies per minute.

Copiers qualified as ENERGY STAR shall be divided into five categories: low speed standard-sized copiers, medium speed standard-sized copiers, high speed standard-sized copiers, low speed large format copiers, and medium and high speed large format copiers.

- (a) <u>Low Speed Standard-Sized Copiers</u>: Copiers with an engine speed for producing multiple images of 20 copies per minute or less.
- (b) <u>Medium Speed Standard-Sized Copiers</u>: Copiers with an engine speed for producing multiple images of greater than 20 and less than or equal to 44 copies per minute.
- (c) <u>High Speed Standard-Sized Copiers</u>: Copiers with an engine speed for producing multiple images of greater than 44 copies per minute.
- (d) <u>Low Speed Large Format Copiers</u>: Copiers with an engine speed for producing multiple images of 40 copies per minute or less (expressed as A4-sized copies per minute).
- (e) <u>Medium and High Speed Large Format Copiers</u>: Copiers with an engine speed for producing multiple images of greater than 40 copies per minute (expressed as A4-sized copies per minute).
- 3. <u>Base Unit</u>: For a given engine speed, the base unit is defined as the most basic version of a copier that is actually sold as a fully operational model. The base unit is typically designed and shipped in a single piece, and does not include any external power-consuming accessories that may be sold separately.
- 4. <u>Accessory</u>: A piece of additional equipment that is not necessary for the standard operation of the base unit, but that may be added before or after shipping in order to enhance or change copier performance. An accessory may be sold separately under its own model number, or sold with a base unit as part of a copier package or configuration. Examples of accessories include: sorters, large capacity paper feeders, etc. It is assumed that the addition of an accessory, irrespective of its own power consumption, will not substantially increase (more than 10 percent) the off mode

power consumption of the base unit. Any accessories shall not impede the normal operation of the auto-off and low-power features.

- 5. <u>Copier Model</u>: For purposes of this Specification, a copier model is defined as a base unit and one or more specific accessories that are advertised and sold to consumers under a single model number. When advertised and sold to consumers without any additional accessories, a base unit is also considered a copier model.
- 6. <u>Low-Power Mode</u>: For purposes of this Specification, the low-power mode is the lowest power state the copier can automatically enter within some period of copier inactivity, without actually turning off. The copier enters this mode within a specified period of time after the last copy was made. For purposes of determining the power consumption in this low-power mode, the company may choose to measure the lowest of either the energy-saver mode or the standby mode.
- 7. <u>Energy-Saver Mode</u>: The condition that exists when the machine is not making copies, has previously reached operating conditions but is consuming less power than when the machine is in stand-by mode. When the copier is in this mode, there may be some delay before the copier will be capable of making the next copy.
- 8. <u>Standby Mode</u>: The condition that exists when the machine is not making copies, has reached operating conditions and is ready to make a copy, but has not yet entered into energy-saver mode. When the copier is in this mode, there will be virtually no delay before the copier is capable of making the next copy.
- 9. <u>Off Mode</u>: For purposes of this Specification, the off mode is defined as the condition that exists when the copier is connected to an appropriate electrical source, and has been recently shut off via the auto-off feature.¹⁸When measuring power in this mode, control equipment for remote servicing may be excluded.
- 10. <u>Auto-off Feature</u>: For purposes of this Specification, the auto-off feature is defined as the ability for the copier to automatically shut itself off within a specified period of time after the last copy was made. The copier shall automatically enter its off mode after execution of this feature.
- 11. <u>Plug-in Mode</u>: The condition that exists when the machine is connected to an appropriate electrical source and is not turned on. To turn the copier on, the user typically needs to manually restart the copier via the on/off switch.
- 12. <u>Default Times</u>: The time period set by the Program Participant prior to shipping that determines when the copier will enter its various modes, i.e., the low-power mode, the off mode, etc. Both the off mode default times and the low-power mode default times shall be measured from the time the last copy was made.

¹⁸ Section VII.B.1 of this Specification contains maximum power consumption targets for the off-mode. It is expected that most companies will meet these off-mode power consumption targets by incorporating an auto-off feature in the copier. However, it is possible and allowable under this Specification for a manufacturer to utilize a low-power mode, rather than and auto-off feature if the low-power mode power consumption is equal to or less than the off-mode power consumption targets contained in this Specification. (See Test Guidelines for more information on this issue.)

- 13. <u>Recovery Time</u>: The amount of time needed to bring the copier from a low-power mode to the standby mode.
- 14. <u>Automatic Duplex Mode</u>: The mode in which the copier automatically places images on both sides of a copy sheet, by automatically sending both the copy sheet and the graphic original through the copier model. Examples of this are one-sided to two-sided copying, or two-sided to two-sided copying. For purposes of this Specification, a copier model is considered to have an automatic duplex mode only if the copier model includes all accessories needed to satisfy the above conditions, i.e., an automatic document feeder and accessories for automatic duplexing capabilities.
- 15. <u>Weekly Timer</u>: An internal device that turns a copier on and off at predetermined times each business day. When programming a timer, the customer shall be able to distinguish between business days and weekends/holidays (i.e., a timer shall not turn on a copier on Saturday and Sunday mornings if employees are not normally in the office on weekends). The customer shall also have the ability to disable the timer. Weekly timers are optional features, and therefore are not required on Energy Star compliant copiers. If included in copier models, weekly timers shall not conflict with the functioning of the low-power and auto-off features.

B. Product Qualification for ENERGY STAR

1. Technical Specifications

To qualify for ENERGY STAR, a copier shall meet the Specifications outlined below:

TABLE 9

Copier Speed (copies per minute)	Low-Power Mode (Watts)	Low-Power Default Time	Recovery Time 30 seconds	Off Mode (Watts)		Automatic Duplex Mode
0 < cpm < 20	None	NA	NA	< 5	< 30 min	No
20 < cpm < 44	3.85 x cpm + 5	15 min.	Yes	< 15	< 60 min	Optional
44 < cpm	3.85 x cpm + 5	15 min.	Recommended	< 20	< 90 min	Optional
	LARGE FORMAT COPIERS					
0 < cpm < 40	NA	NA	NA	< 10	< 30 min.	No
40 < cpm	3.85 x cpm + 5	15 min.	Recommended	< 20	< 90 min.	No

Criteria for ENERGY STAR Qualified Copiers

Program Participant shall set the default times for the auto-off feature to the levels specified in the Table above. The default times for the off mode and the low-power mode shall be measured from the time the last copy was made.

For all copier speeds where it is optional that the duplex mode be set as the default, if a model is shipped with automatic duplexing capabilities, then it is recommended that duplexing be set as the default mode. Program Participant may provide users with the ability to override this default duplex mode for single-sided copies.

2. Exceptions and Clarifications

After shipping, Program Participant or its designated service representative shall not alter the copier model in any way that will affect the copier's ability to meet the specifications outlined above. Certain exceptions are allowed in changing the default times, the off mode specifications, and the duplex mode. These exceptions are as follows:

- (a) <u>Default Times</u>: After shipping, the Program Participant, designated service representative, or customer may change the default times for either the low-power mode and/or off mode, but only up to a Program Participant set maximum of 240 minutes (i.e., the combined total for off mode and low-power mode default times shall not exceed 240 minutes).
- (b) Off Mode Power Consumption: In some cases, Program Participant may need to ship a copier model with the anti-humidity device disconnected in order to meet off mode power requirements. If this situation leads to sizable inconvenience for a specific customer, Program Participant (or the designated service representative) may connect the anti-humidity device. If Program Participant determines that in a certain geographical area there are chronic reliability problems associated with high humidity levels, Program Participant may contact the EPA program manager and discuss alternative solutions. Program Participants in the European Community Member States Territory may contact the European Commission. For example, EPA or the European Commission may allow Program Participant to connect the anti-humidity devices in copier models that are shipped to a very humid geographical area.
- (c) <u>Disabling the Auto-Off Feature</u>: In an individual case where the auto-off feature is causing a customer sizable inconvenience due to his/her particular usage patterns, the Program Participant, designated service representative, or customer may disable this auto-off feature. If Program Participant chooses to design its copier models to allow the customer to disable the auto-off feature, then the disable option shall be accessed in a manner different from the time settings. (e.g., if a software menu provides off-mode delay times of 30, 60, 90, 120, and 240 minutes, then "disable" or "off" shall not be a choice in this menu. It shall be a hidden (or less obvious) choice, or included in a different menu.).

C. Test Guidelines

1. <u>Test Conditions:</u> Outlined below are the ambient test conditions which should be established when performing the power measurement. These are necessary in order to ensure that outside factors do not affect the test results, and that test results can be reproduced later.

Line Impedance: < 0.25 ohm

Total Harmonic Distortion: < 3%

Ambient Temperature: 21 deg. C +/- 3 deg. C

Relative Humidity: 40 -60%

Distance From Wall: 2 ft. min.

Other Market-Specific Criteria:

Market	Paper Size	Voltage/ Frequency
United States	8.5" x 11"	115 V RMS +/- 5 V
		60 Hz +/- 3Hz
Europe	A4	230 V RMS +/- 10 V
		50 Hz +/- 3 Hz
Japan	A4	100 V RMS +/- 5 V
		50 Hz +/- 3 Hz and 60 Hz +/- 3 Hz
		200 V RMS +/- 10 V
		50 Hz +/- 3 Hz and 60 Hz +/- 3 Hz

2. Testing Equipment: The provisions of Section I.C 2 apply.

3. Test Method: The provisions of Section I.C.3 apply.

V. SCANNER SPECIFICATIONS

The following Scanner Specifications shall be applicable until 31 March 2007.

A. Definitions

- 1. <u>Scanner</u>: For purposes of this Specification, a scanner is defined as an electro-optical device for converting color or black-and-white information into electronic images that can be stored, edited, converted, or transmitted primarily in a personal computing environment. Scanners defined as such are typically used for digitizing hard-copy images. The intent of this Specification is to focus on widely-used desktop scanners (e.g., flatbed, sheet-fed, and film scanners); however, high-end office document management scanners that meet the specifications outlined below may qualify for the Energy Star logo. This Specification is for stand-alone scanners; it does not cover multifunction products with scanning capabilities, network scanners (i.e., scanners that connect exclusively to a network and are capable of managing the scanned information for transmissions to multiple locations on the network), or scanners that are not powered directly by the building power supply.
- 2. <u>Base Unit</u>: The base unit is defined as the most basic version of a scanner that is actually sold as a fully operational model. The base unit is typically designed and

shipped in a single piece, and does not include any external power-consuming accessories that may be sold separately.

- 3. <u>Scanner Model</u>: For purposes of this Specification, a scanner model is defined as a base unit and one or more specific accessories that are advertised and sold to consumers under a single model number. When advertised and sold to consumers without any additional accessories, a base unit is also considered a scanner model.
- 4. <u>Accessory</u>: Any piece of additional equipment that is not necessary for the standard operation of the scanner, but that may be added in order to enhance or change scanner performance. An accessory may be sold separately under its own model number, or sold with a base unit as part of a scanner package or configuration. Examples of accessories include automatic document feeders (ADFs) and transparency adaptors.
- 5. <u>Low-power Mode</u>: For purposes of this Specification, the low-power mode is the lowest power state the scanner is designed to enter after some period of inactivity, without actually turning off. The scanner enters this mode within a specified period of time after the last image was scanned.
- 6. <u>Default Time</u>: The time period set by the Program Participant prior to shipping that determines when the scanner will enter the low-power mode. The low-power mode default time shall be measured from the time the last image was scanned.

B. Product Qualification for ENERGY STAR

Technical Specifications: Program Participant agrees to introduce one or more specific base units that meet the specifications outlined below.

TABLE 10

Criteria for ENERGY STAR Qualified Scanners

Low-power Mode	Low-power Mode Default Time
\leq 12 watts	\leq 15 minutes

C. Test Guidelines

1. <u>Test Conditions:</u> Outlined below are the ambient test conditions which should be established when performing the power measurement. These are necessary in order to ensure that outside factors do not affect the test results, and that test results can be reproduced later.

Line Impedance: < 0.25 ohm

Total Harmonic Distortion: < 5%

Ambient Temperature: 25 deg. C +/- 3 deg. C

Input AC Voltage: 115 VAC RMS +/- 5 V RMS

Input AC Frequency: 60 Hz +/- 3 Hz

- 2. <u>Testing Equipment</u>: The provisions of Section I.C.2 apply.
- 3. <u>Test Method</u>: The provisions of Section I.C.3 apply.

VI. MULTIFUNCTION DEVICE SPECIFICATIONS

The following Multi-function Device Specifications shall be applicable until 31 March 2007.

A. Definitions

1. <u>Multifunction Device</u>: A Multifunction Device (MFD) is a physically integrated device or a combination of functionally integrated components (the "base unit", see definition below) that produces hard copy duplicates from graphical hard copy originals (distinct from single sheet convenience copying, see next paragraph) as well as performing one or both of the following core functions: printing of documents (from digital information received from direct connect computers, networked computers, file servers and fax transmissions) or faxing (send and receive). An MFD may also include scanning to computer file or any other capabilities not listed in this Specification. The device may be connected to a network, and may output black & white, gray scale, or color images. EPA anticipates that a separate Specification may ultimately be required to cover color devices, because of likely technological developments related to color imaging, but for now these devices are included in this Specification.

This Specification covers products that are marketed and sold as multifunction equipment whose primary function is copying but that are able to perform one or both of the additional core functions of printing or faxing. Devices whose primary function is faxing, and offer limited sheet copying capabilities (so-called single sheet "convenience copying") are covered under the printer/fax Specification.

If the MFD is not a single integrated unit but a set of functionally integrated components, then the manufacturer must certify that when installed correctly in the field the sum of all power use for all MFD components comprising the base unit will achieve the power levels listed below to qualify as an ENERGY STAR Qualified MFD.

Some digital copiers can be upgraded into an MFD in the field with the installation of add-on devices that allow printing or faxing capabilities. Program Participants may consider this system of components to be an MFD, and may qualify it according to the specifications in Tables 11 and 12. However, when the digital copier is sold independently of the add-on devices, the copier must qualify according to the upgradeable digital copier specifications in Table 13 and 14.

Some printers can be upgraded into an MFD in the field with the installation of add-on devices that allow copying (not just single sheet convenience copying) and may also allow faxing capabilities. Program Participants may consider this system of components to be an MFD, and may qualify it according to the MFD specifications. However, when sold independently, the printer cannot be represented as an ENERGY STAR qualified device unless it meets the ENERGY STAR specification for printers, in Section III.

2. <u>Image reproduction speed</u>: Images per minute (ipm) measures the image reproduction speed specified in terms of monochrome text output per minute at the default resolution of the MFD. One image is defined as one 8.5" x 11" or A4-sized printed page of single-spaced monochrome text output, 12 point type, Times font, 1" (2.54 cm) margins on all sides of the page. Double-sided prints or copies count as two images even though they are printed on one piece of paper. If at a later date EPA creates a test procedure specifically designed to measure print speed, then that test procedure shall supersede the output speed specifications listed in this section.

For all multifunction device models, engine speed shall be based on either 8.5" x 11" or A4-sized paper, depending on which is the standard in a particular market. If copier and print speeds are different, whichever speed is higher shall be used to determine to which speed category the device belongs.

For large format multifunction device models designed to handle primarily A2 or 17" x 22" paper or larger, the reproduction speed measured as A2 or A0-sized images per minute, shall be converted into A4-sized image reproduction speeds, as follows:

(a) One A2 image per minute is equivalent to 4 A4 images per minute;

(b) One A0 image per minute is equivalent to 16 A4 images per minute.

Multifunction Devices will be divided into the following categories:

<u>Personal Multifunction Devices</u>: Multifunction devices with an engine speed for producing multiple images of 10 images per minute or less.

Low Speed Multifunction Devices: Multifunction devices with an engine speed for producing multiple images of greater than 10 and less than or equal to 20 images per minute.

<u>Medium Speed Multifunction Devices</u>: Multifunction devices with an engine speed for producing multiple images of greater than 20 and less than or equal to 44 images per minute.

<u>Medium/High Speed Multifunction Devices</u>: Multifunction devices with an engine speed for producing multiple images of greater than 44 and less than or equal to 100 images per minute.

<u>High Speed Multifunction Devices¹⁹</u> Multifunction devices with an engine speed for producing multiple images of greater than 100 images per minute.

¹⁹

For a multifunction device where the above method would give an inaccurate result (because the device is not completely warmed up after the first warm-up cycle plus 15 minutes standby time), the following procedure (in line with ASTM Standard F757-94) may be used:

Turn on the MFD and allow the machine to warm up and stabilize in the ready mode (=standby mode) for two hours. During the first 105 minutes, prevent the MFD from entering the low power mode (e.g., by making one copy every 14 minutes during this period). Make the last copy at 105 minutes after the MFD was turned on. Then wait exactly 15 minutes. After 15 minutes has passed, read and record the watt-hour meter indication and the time (or start the stopwatch or timer). After 1 hour, read and record the watt-hour indication again. The difference between the two readings of the watt-hour meter is the low-power mode energy use; divide by 1 hour to obtain the average power rating

- 3. <u>Base Unit</u>: For a given engine speed, the base unit is defined as the most basic version of a multifunction device that is actually sold as a fully operational model. The base unit can be designed and shipped as a single piece or as a combination of functionally integrated components. The base unit must allow copying and one or both of the additional core functions of printing or faxing. The base unit does not include any external power-consuming accessories that may be sold separately.
- 4. <u>Accessories</u>: A piece of additional equipment that is not necessary for the standard operation of the base unit, but that may be added before or after shipping in order to enhance or change multifunction device performance. Examples of accessories include: sorters, large capacity paper feeders, paper finishing equipment, large paper supply devices, output paper organizers, and key counters. An accessory may be sold separately under its own model number, or sold with a base unit as part of a multifunction device package or configuration. It is assumed that the addition of any accessories will not substantially increase (more than a total of 10 percent for all accessories) the low-power or sleep mode power consumption of the base unit (irrespective of the power consumption of the accessories). Any accessories shall not impede the normal operation of the low-power and sleep mode features.
- 5. <u>Multifunction Device Model</u>: For purposes of this Specification, a multifunction device model is defined as a base unit and one or more specific accessories that are advertised and sold to consumers under a single model number. When advertised and sold to consumers without any additional accessories, a base unit is also considered a multifunction device model.
- 6. <u>Standby Mode</u>: The condition that exists when the machine is not producing output, has reached operating conditions and is ready to make hard copy output, but has not yet entered into the low-power mode. When the multifunction device is in this mode, there will be virtually no delay before the multifunction device is capable of making the next hard copy output.
- 7. <u>Low-power Mode</u>: For purposes of this Specification, the low-power mode is the condition that exists when the multifunction device is not producing hard copy output and is consuming less power than when in a standby mode. When the multifunction device is in this mode there may be some delay in the production of hard copy output. In this mode there shall be no delay in the acceptance of information from fax or printing or scanning input sources. The multifunction device enters this mode within a specified period of time after the last hard copy output was made no matter what the input source. For products that meet the low-power mode power requirements in standby mode, no further power reductions are required.
- 8. <u>Sleep Mode</u>: For purposes of this Specification, the sleep mode is the lowest power state the multifunction device can automatically enter without actually turning off. In this mode both hard copy output and the acceptance of imaging information from some input ports may be delayed. The multifunction device enters the sleep mode within a specified period of time after the last hard copy output was made or after it has entered the low-power mode if a low-power mode is provided.
- 9. <u>Default Times</u>: The time period set by the Program Participant prior to shipping that determines when the multifunction device will enter its various modes.(i.e., the low-power mode, the sleep mode, etc. Both the sleep mode default times and the low-

power mode default times shall be measured from the time the last hard copy output was made.)

- 10. <u>Recovery Time</u>: The amount of time needed to bring the multifunction device from the low- power mode to the standby mode.
- 11. <u>Automatic Duplex Mode</u>: The mode in which the multifunction device automatically places images on both sides of a sheet by automatically sending both the sheet and the graphic original through the multifunction device. Examples of this are one-sided to two-sided copying, two-sided to two-sided copying, or double-sided printing. For purposes of this Specification, a multifunction device model is considered to have an automatic duplex mode only if the multifunction device model includes all accessories needed to satisfy the above conditions (i.e., an automatic document feeder and accessories for automatic duplexing capabilities).
- 12. <u>Weekly Timer</u>: An internal device that turns a multifunction device on and off at predetermined times each day. When programming a timer, the customer shall be able to distinguish between business days and weekends/holidays (i.e., a timer shall not turn on a copier on Saturday and Sunday mornings if employees are not normally in the office on weekends). The customer shall also have the ability to disable the timer. Weekly timers are optional features, and therefore are not required on ENERGY STAR Qualified MFDs. If included in multifunction device models, weekly timers shall not conflict with the functioning of the low-power and sleep mode features.
- 13. <u>Upgradeable Digital Copier</u>: A commercial reprographic imaging unit whose sole function is the production of duplicates from a graphic hard copy original using digital imaging technology, but that provides the option of being upgraded to offer multiple functions, such as printing or fax capabilities, through the installation of add-on devices. In order to be classified as an upgradeable digital copier under the MFD Specification, the upgrade options must be available on the market or intended for availability within one year after the base unit is launched. Digital copiers that are not designed for functional upgrades must qualify for ENERGY STAR under the Copier Specification.

B. Product Qualification for ENERGY STAR

1. Technical Specifications

ENERGY STAR Program Participant agrees to introduce one or more specific multifunction device models that meet the specifications outlined in the Tables below.

(a) <u>Standard-sized Multifunction devices</u>: To qualify for ENERGY STAR, multifunction device models designed to handle primarily 8.5" x 11" or A4-sized paper shall meet the specifications provided in Table 11. All device speeds shall be measured with respect to the number of 8.5" x 11" or A4-sized images that feed through per minute, as described in Section VI.A.2, above.

TABLE 11

Criteria for ENERGY STAR Qualified Multifunction Devices

Multifunction Device Speed (images per minute)	Low-power Mode (Watts)	Recovery Time 30 seconds	Sleep Mode (Watts)	Sleep Mode Default Time	Automatic Duplex Mode
0 < ipm < 10	NA	NA	< 25	< 15 min	No
10 < ipm < 20	NA	NA	< 70	< 30 min	No
20 < ipm < 44	3.85 x ipm + 50	Yes	< 80	< 60 min	Optional
44 < ipm < 100	3.85 x ipm + 50	Recommended	< 95	< 90 min	Optional
100 < ipm	3.85 x ipm + 50	Recommended	< 105	< 120 min	Optional

(b) <u>Large Format Devices</u>: To qualify for ENERGY STAR, large format multifunction device models designed to handle primarily A2 or 17" x 22" paper or larger shall meet the specifications provided in Table 12. All large format device speeds shall be measured with respect to the number of A4-sized images that feed through per minute, as described in Section IV.A.2, above.

TABLE 12

Criteria for ENERGY STAR Qualified Multifunction Devices - LARGE FORMAT DEVICES

Multifunction Device Speed (images per minute)	Low-power Mode (Watts)	Recovery Time 30 seconds	Sleep Mode (Watts)	Sleep Mode Default Time	Automatic Duplex Mode
0 < ipm < 40	NA	NA	< 70	< 30 min	No
40 < ipm	4.85 x ipm + 50	Recommended	< 105	< 90 min	No

(c) <u>Upgradable Digital Copiers</u>: To qualify for ENERGY STAR under the Multifunction Device Specification, upgradeable digital copiers designed to handle primarily 8.5" x 11" or A-4 sized paper shall meet the specifications provided in Table 13. All device speeds shall be measured with respect to the number of 8.5" x 11" or A-4 sized images that feed through per minute, as described in Section IV.A.2, above.

TABLE 13

Upgradeable Digital Copier Speed (images per minute)	Low-power Mode (Watts)	Recovery Time 30 seconds	Sleep Mode ²⁰ (Watts)	Sleep Mode Default Time
0 < ipm ≤ 10	NA	NA	≤ 5	\leq 15 min
$10 < \text{ipm} \le 20$	NA	NA	≤ 5	\leq 30 min
20 < ipm ≤ 44	3.85 x ipm + 5	Yes	≤15	\leq 60 min
44 < ipm ≤ 100	3.85 x ipm + 5	Recommended	≤ 20	\leq 90 min
100 < ipm	3.85 x ipm + 5	Recommended	≤ 20	≤ 120 min

Criteria for ENERGY STAR Qualified Multifunction Devices — UPGRADEABLE DIGITAL COPIERS

Note that criteria for upgradeable digital copiers are identical to those of the Copier Specification.

(d) <u>Large Format Upgradeable Digital Copiers</u>: To qualify for ENERGY STAR under the Specification for Multifunction Devices, upgradeable digital copiers designed to handle primarily A2 or 17" x 22" or larger sized paper shall meet the specifications provided in Table 14. All device speeds shall be measured with respect to the number A-4 sized images that feed through per minute, as described in Section VI.A.2 of the Specification.

TABLE 14

Criteria for ENERGY STAR Qualified Multifunction Devices — LARGE FORMAT UPGRADEABLE DIGITAL COPIERS

Upgradeable Digital Copier Speed (images per minute)	Ĩ	Recovery Time 30 seconds	Sleep Mode (Watts)	Sleep Mode Default Time
$0 < \text{ipm} \le 40$	NA	NA	≤65	\leq 30 min
40 < ipm	4.85 x ipm + 45	NA	≤ 100	\leq 90 min

²⁰ For MFDs that consist of functionally integrated, but physically separate units consisting of separate print, scan, and computer components, sleep mode Watts for the total system may be increased by an amount equal to the sleep mode Watts allowed for an ENERGY STAR qualified computer.

2. Additional requirements

In addition to the requirements shown in Tables 11 through 14, the following additional requirements must also be met.

- (a) <u>Default time for low-power mode</u>: For MFDs and upgradeable digital copiers, Program Participant shall ship multifunction device models with the default time for the low-power mode set at 15 minutes. Program Participant shall set the default times for the sleep mode to the levels specified in Tables 11 through 14. The default times for the low-power mode and the sleep mode shall be measured from the time the last copy was made or the last page was printed.
- (b) <u>Recovery time from low-power mode</u>: The actual recovery time from low-power mode shall be placed in product literature for those products that have a low-power mode.
- (c) <u>Weekly timers</u>: Note that weekly timers may be incorporated, but shall not adversely affect or interfere with the normal operation of the low-power or sleep modes. It is EPA's intention that any added features complement the reduced power modes and not negate their effects.
- (d) <u>Auto-duplex features</u>: Duplexing is not required to be the default setting for any multifunction devices. However, it is required to be offered as an option for all standard size multifunction devices faster than 20 ipm. Further, it is recommended that multifunction devices be shipped with automatic duplexing set as the default mode for copying and any other feasible functions and described to customers upon installation.
- 3. Exceptions and Clarifications:

After shipping, Program Participant or its designated service representative shall not alter the multifunction device model in any way that will affect the multifunction device's ability to meet the specifications outlined above. Certain exceptions are allowed in changing the default times and the duplex mode. These exceptions are as follows:

- (a) <u>Default Times</u>: After shipping, the Program Participant, designated service representative, or customer may change the default times for either the low-power or sleep-mode feature, but only up to a factory-set maximum of 240 minutes (i.e., the combined total of the default times shall not exceed 240 minutes).
- (b) <u>Anti-humidity devices</u>: In some cases, Program Participant may need to ship a multifunction device model with the anti-humidity device disconnected in order to meet sleep mode power requirements. If this situation leads to sizable inconvenience for a specific customer, Program Participant (or the designated service representative) may connect the anti-humidity device. If Program Participant determines that in a certain geographical area there are chronic reliability problems associated with high humidity levels, Program Participant

may contact the EPA²¹ program manager (as named in Annex B) and discuss alternative solutions. For example, EPA may allow Program Participant to connect the anti-humidity devices in multifunction device models that are shipped to a very humid geographical area.

(c) <u>Disabling the Sleep Mode</u>: In an individual case where the Sleep Mode is causing a customer sizable inconvenience due to his/her particular usage patterns, the Program Participant, designated service representative, or customer may disable this Sleep Mode feature. If Program Participant chooses to design its multifunction device models to allow the customer to disable the Sleep Mode feature, then the disable option shall be accessed in a manner different from the time settings (e.g., If a software menu provides sleep mode delay times of 15, 30, 60, 90, 120, and 240 minutes, then "disable" or "off" shall not be a choice in this menu. It shall be a hidden (or less obvious) choice or included in a different menu).

C. Test Guidelines

1. Test Conditions

Outlined below are the ambient test conditions which should be established when performing the power measurement. These are necessary in order to ensure that outside factors do not affect the test results, and that test results can be reproduced later.

Line Impedance: < 0.25 ohm

Total Harmonic Distortion: < 3%

Ambient Temperature: 21 deg. C +/- 3 deg. C

Relative Humidity: 40 -60%

Distance From Wall: 2 ft. min.

²¹ For products registered with the European Commission, Program Participants may contact the European Commission.

Market	Paper Size	Voltage/ Frequency
United States	8.5" x 11"	115 V RMS +/- 5 V
		60 Hz +/- 3Hz
Europe	A4	230 V RMS +/- 10 V
		50 Hz +/- 3 Hz
Japan	A4	100 V RMS +/- 5 V
		50 Hz +/- 3 Hz and 60 Hz +/- 3 Hz
		200 V RMS +/- 10 V
		50 Hz +/- 3 Hz and 60 Hz +/- 3 Hz

Other Market-Specific Criteria:

2. Testing Equipment: The provisions of Section I.C.2 apply.

3. Test Method: The provisions of Section I.C.3 apply.

VII. IMAGING EQUIPMENT SPECIFICATION

The following Imaging Equipment Specifications shall be applicable as of 1 April 2007.

A. Definitions

Products

- 1. <u>Copier</u>: A commercially-available imaging product whose sole function is the production of hard copy duplicates from graphic hard copy originals. The unit must be capable of being powered from a wall outlet or from a data or network connection. This definition is intended to cover products that are marketed as copiers or upgradeable digital copiers (UDCs).
- 2. <u>Digital Duplicator</u>: A commerciallyavailable imaging product that is sold in the market as a fully-automated duplicator system through the method of stencil duplicating with digital reproduction functionality. The unit must be capable of being powered from a wall outlet or from a data or network connection. This definition is intended to cover products that are marketed as digital duplicators.
- 3. <u>Facsimile Machine (Fax Machine)</u>: A commercially-available imaging product whose primary functions are scanning hard copy originals for electronic transmission to remote units and receiving similar electronic transmissions to produce hard copy output. Electronic transmission is primarily over a public telephone system, but also may be via computer network or the Internet. The product also may be capable of

producing hard copy duplicates. The unit must be capable of being powered from a wall outlet or from a data or network connection. This definition is intended to cover products that are marketed as fax machines.

- 4. <u>Mailing Machine</u>: A commercially-available imaging product that serves to print postage onto mail pieces The unit must be capable of being powered from a wall outlet or from a data or network connection. This definition is intended to cover products that are marketed as mailing machines.
- 5. <u>Multifunction Device (MFD)</u>: A commercially-available imaging product, which is a physically-integrated device or a combination of functionally-integrated components, that performs two or more of the core functions of copying, printing, scanning, or faxing. The copy functionality as addressed in this definition is considered to be distinct from single sheet convenience copying offered by fax machines. The unit must be capable of being powered from a wall outlet or from a data or network connection. This definition is intended to cover products that are marketed as MFDs or multifunction products (MFPs).

Note: If the MFD is not a single integrated unit but a set of functionally integrated components, then the manufacturer must certify that when installed correctly in the field, the sum of all energy or power use for all MFD components comprising the base unit will achieve the energy or power levels provided in Section VII.C. to qualify as an ENERGY STAR MFD.

- 6. <u>Printer</u>: A commercially-available imaging product that serves as a hard copy output device, and is capable of receiving information from single-user or networked computers, or other input devices (e.g., digital cameras). The unit must be capable of being powered from a wall outlet or from a data or network connection. This definition is intended to cover products that are marketed as printers, including printers that can be upgraded into MFDs in the field.
- 7. <u>Scanner</u>: A commercially-available imaging product that functions as an electrooptical device for converting information into electronic images that can be stored, edited, converted, or transmitted, primarily in a personal computing environment. The unit must be capable of being powered from a wall outlet or from a data or network connection. This definition is intended to cover products that are marketed as scanners.

Marking Technologies

- 8. Direct Thermal (DT): A marking technology that transfers an image by burning dots onto coated media as it passes over a heated print head. DT products do not use ribbons.
- 9. Dye Sublimation (DS): A marking technology where images are formed by depositing (subliming) dye onto the print media based upon the amount of energy delivered by the heating elements.
- 10. Electrophotography (EP): A marking technology characterized by illumination of a photoconductor in a pattern representing the desired hard copy image via a light source, development of the image with particles of toner using the latent image on the photoconductor to define the presence or absence of toner at a given location, transfer

of the toner to the final hard copy medium, and fusing to cause the desired hard copy to become durable. Types of EP include Laser, LED, and LCD. Color EP is distinguished from monochrome EP in that toners of at least three different colors are available in a given product at one time. Two types of color EP technology are defined below:

- (a) <u>Parallel Color EP</u>: A marking technology that uses multiple light sources and multiple photoconductors to increase the maximum color printing speed.
- (b) <u>Serial Color EP</u>: A marking technology that uses a single photoconductor in a serial fashion and one or multiple light sources to achieve the multi-color hard copy output.
- 11. <u>Impact</u>: A marking technology characterized by the formation of the desired hard copy image by transferring colorant from a "ribbon" to the media via an impact process. Two types of impact technology are Dot Formed Impact and Fully-formed Impact.
- 12. <u>Ink Jet (IJ)</u>: A marking technology where images are formed by depositing colorant in small drops directly to the print media in a matrix manner. Color IJ is distinguished from monochrome IJ in that more than one colorant is available in a product at any one time. Typical types of IJ include Piezo-electric (PE) IJ, IJ Sublimation, and Thermal IJ.
- 13. <u>Solid Ink (SI)</u>: A marking technology where the ink is solid at room temperature and liquid when heated to the jetting temperature. Transfer to the media can be direct, but is most often made to an intermediate drum or belt and then offset printed to the media.
- 14. <u>Stencil</u>: A marking technology that transfers images onto the print media from a stencil that is fitted around an inked drum.
- 15. <u>Thermal Transfer (TT)</u>: A marking technology where the desired hard copy image is formed by depositing small drops of solid colorant (usually colored waxes) in a melted/fluid state directly to the print media in a matrix manner. TT is distinguished from IJ in that the ink is solid at room temperature and is made fluid by heat.

Operational Modes, Activities, and Power States

- 16. <u>Active</u>: The power state in which the product is connected to a power source and is actively producing output, as well as performing any of its other primary functions.
- 17. <u>Automatic Duplexing</u>: The capability of a copier, fax machine, MFD, or printer to automatically place images on both sides of an output sheet, without manual manipulation of output as an intermediate step. Examples of this are one-sided to two-sided copying and two-sided to two-sided copying. A product is considered to have automatic duplexing capability only if the model includes all accessories needed to satisfy the above conditions.
- 18. <u>Default Delay Time</u>: The time set by the manufacturer prior to shipping that determines when the product will enter a lower-power mode (e.g., Sleep, Off) following completion of its primary function.

- 19. <u>Off</u>: The power state that the product enters when it has been manually or automatically switched off but is still plugged in and connected to the mains. This mode is exited when stimulated by an input, such as a manual power switch or clock timer to bring the unit into Ready mode. When this state is resultant from a manual intervention by a user, it is often referred to as Manual Off, and when it is resultant from an automatic or predetermined stimuli (e.g., a delay time or clock), it is often referred to as Auto-off.
- 20. <u>Ready</u>: The condition that exists when the product is not producing output, has reached operating conditions, has not yet entered into any lower-power modes, and can enter Active mode with minimal delay. All product features can be enabled in this mode, and the product must be able to return to Active mode by responding to any potential input options designed into the product. Potential inputs include external electrical stimulus (e.g., network stimulus, fax call, or remote control) and direct physical intervention (e.g., activating a physical switch or button).
- 21. <u>Sleep</u>: The reduced power state that the product enters automatically after a period of inactivity. In addition to entering Sleep automatically, the product may also enter this mode 1) at a user set time-of-day, 2) immediately in response to user manual action, without actually turning off, or 3) through other, automatically-achieved ways that are related to user behavior. All product features can be enabled in this mode and the product must be able to enter Active mode by responding to any potential input options designed into the product; however, there may be a delay. Potential inputs include external electrical stimulus (e.g., network stimulus, fax call, remote control) and direct physical intervention (e.g., activating a physical switch or button). The product must maintain network connectivity while in Sleep, waking up only as necessary.

Note: When reporting data and qualifying products that can enter Sleep mode in multiple ways, Program Participants should reference a Sleep level that can be reached automatically. If the product is capable of automatically entering multiple, successive Sleep levels, it is at the manufacturer's discretion which of these levels is used for qualification purposes; however, the default-delay time provided must correspond with whichever level is used.

22. <u>Standby</u>: The lowest power consumption mode which cannot be switched off (influenced) by the user and that may persist for an indefinite time when the product is connected to the main electricity supply and used in accordance with the manufacturer's instructions²².

Note: For Imaging Equipment products addressed by this specification, the Standby power level usually occurs in Off mode, but can occur in Ready or Sleep. A product cannot exit Standby and reach a lower power state unless it is physically disconnected from the main electricity supply as a result of manual manipulation.

Product Size Formats

23. <u>Large Format</u>: Products categorized as Large Format include those designed for A2 media and larger, including those designed to accommodate continuous-form media at

²² IEC 62301 – Household electrical appliances – Measurement of standby power. 2005.

a width of 406 millimeters (mm) or wider. Large-format products may also be capable of printing on standard-size or small-format media.

- 24. <u>Small Format</u>: Products categorized as Small Format include those designed for media sizes smaller than those defined as Standard (e.g., A6, 4" x 6", microfilm), including those designed to accommodate continuous-form media at widths smaller than 210 mm.
- 25. <u>Standard</u>: Products categorized as Standard include those designed for standard-sized media (e.g., Letter, Legal, Ledger, A3, A4, and B4), including those designed to accommodate continuous-form media at widths between 210 mm and 406 mm. Standard-size products may also be capable of printing on small-format media.

Additional Terms

- 26. <u>Accessory</u>: An optional piece of peripheral equipment that is not necessary for the operation of the base unit, but that may be added before or after shipment in order to add functionality. An accessory may be sold separately under its own model number, or sold with a base unit as part of a package or configuration.
- 27. <u>Base Product</u>: A base product is the standard model shipped by the manufacturer. When product models are offered in different configurations, the base product is the most fundamental configuration of the model, which possesses the minimum number of functional adders available. Functional components or accessories offered as optional, rather than standard, are not considered part of the base product.
- 28. <u>Continuous Form</u>: Products categorized as Continuous Form include those which do not use a cut-sheet media size, and are designed for key industrial applications such as printing of bar codes, labels, receipts, waybills, invoices, airline tickets, or retail tags.
- 29. <u>Digital Front-end (DFE)</u>: A functionally-integrated, network-attached server or desktop-derived server that hosts other computers and applications and acts as an interface to imaging equipment. A DFE uses its own dc power supply or draws its dc power from the imaging equipment product with which it operates. A DFE provides greater functionality to the imaging product. A DFE also offers **at least three** of the following advanced features:
 - (a) Network connectivity in various environments;
 - (b) Mailbox functionality;
 - (c) Job queue management;
 - (d) Machine management (e.g., waking the imaging equipment from a reduced power state);
 - (e) Advanced graphic user-interface (UI);
 - (f) Ability to initiate communication with other host servers and client computers (e.g., scanning to email, polling remote mailboxes for jobs); or
 - (g) Ability to post-process pages (e.g., reformatting pages prior to printing).

- 30. <u>Functional Adder</u>: A functional adder is a standard product feature that adds functionality to the base marking engine of an imaging equipment product. The Operational Mode portion of this specification contains additional power allowances for certain functional adders. Examples of functional adders include wireless interfaces and scanning capability.
- 31. <u>Operational Mode (OM) Approach</u>: A method of testing and comparing the energy performance of imaging equipment products, which focuses on product energy consumption in various low-power modes. The key criteria used by the OM approach are values for low-power modes, measured in watts (W). Detailed information can be found in the Operational Mode Test Procedure in Section VII.D.3.
- 32. <u>Marking Engine</u>: The very basic engine of an imaging product, which drives the image production of that product. Without additional functional components, a marking engine cannot acquire image data to process and is, therefore, non-functional. A marking engine is reliant on functional adders for communication ability and image processing.
- 33. <u>Model</u>: An imaging equipment product that is sold or marketed under a unique model number or marketing name. A model may be comprised of a base unit or a base unit and accessories.
- 34. <u>Product Speed</u>: In general, for Standard-size products, a single A4 or 8.5" x 11" sheet printed/copied/scanned on one side in a minute is equal to one image-per-minute (ipm). If the maximum claimed speeds differ when producing images on A4 or 8.5" x 11" paper, the higher of the two shall be used.
 - For mailing machines, one piece of mail processed in a minute is equal to one mail-piece-per-minute (mppm).
 - For Small-format products, a single A6 or 4" x 6" sheet printed/copied/scanned on one side in a minute is equal to 0.25 ipm.
 - For Large-format products, a single A2 sheet is equivalent to 4 ipm and one A0 sheet is equivalent to 16 ipm.
 - For continuous-form products categorized as Small-format, Large-format, or Standard-size, print speed in ipm should be obtained from the product's maximum marketed imaging speed in meters per minute according to the conversion below:

X ipm = 16 x [Maximum media width (meters) x Maximum imaging speed (length-meters/minute)]

In all cases, the converted speed in ipm should be rounded to the nearest integer (e.g., 14.4 ipm rounds to 14.0 ipm; 14.5 ipm rounds to 15 ipm).

For qualification purposes, manufacturers should report the speed of the product according to the prioritization of functions outlined below:

- **Print Speed**, unless the product cannot perform the print function, in which case,
- **Copy Speed**, unless the product cannot perform the print or copy functions, in which case,

- Scan Speed.

- 35. <u>Typical Electricity Consumption (TEC) Approach</u>: A method of testing and comparing the energy performance of imaging equipment products, which focuses on the typical electricity consumed by a product while in normal operation during a representative period of time. The key criteria of the TEC approach for imaging equipment is a value for typical weekly electricity consumption, measured in kilowatt-hours (kWh). Detailed information can be found in the Typical Electricity Consumption Test Procedure in Section VII.D.2.
- B. Qualifying Products

In order to qualify as ENERGY STAR, an imaging equipment product must be defined in Section VII.A and meet one of the product descriptions in Table 15 or 16, below.

Product Area	Marking Technology	Size Format	Color Capability	TEC Table
	Direct Thermal	Standard	Monochrome	TEC 1
	Dye Sublimation	Standard	Color	TEC 2
	Dye Sublimation	Standard	Monochrome	TEC 1
Contour	EP	Standard	Monochrome	TEC 1
Copiers	EP	Standard	Color	TEC 2
	Solid Ink	Standard	Color	TEC 2
	Thermal Transfer	Standard	Color	TEC 2
	Thermal Transfer	Standard	Monochrome	TEC 1
Digital	Stencil	Standard	Color	TEC 2
Duplicators	Stencil	Standard	Monochrome	TEC 1
	Direct Thermal	Standard	Monochrome	TEC 1
	Dye Sublimation	Standard	Monochrome	TEC 1
	EP	Standard	Monochrome	TEC 1
Fax Machines	EP	Standard	Color	TEC 2
	Solid Ink	Standard	Color	TEC 2
	Thermal Transfer	Standard	Color	TEC 2
	Thermal Transfer	Standard	Monochrome	TEC 1

TABLE 15 - Qualifying Products: TEC Approach

Product Area	Marking Technology	Size Format	Color Capability	TEC Table
	Direct Thermal	Standard	Monochrome	TEC 3
	Dye Sublimation	Standard	Color	TEC 4
Multifunction	Dye Sublimation	Standard	Monochrome	TEC 3
Devices (MFDs)	EP	Standard	Monochrome	TEC 3
	EP	Standard	Color	TEC 4
	Solid Ink	Standard	Color	TEC 4
Multifunction	Thermal Transfer	Standard	Color	TEC 4
Devices (MFDs)	Thermal Transfer	Standard	Monochrome	TEC 3
	Direct Thermal	Standard	Monochrome	TEC 1
	Dye Sublimation	Standard	Color	TEC 2
	Dye Sublimation	Standard	Monochrome	TEC 1
Printers	EP	Standard	Monochrome	TEC 1
1 milers	EP	Standard	Color	TEC 2
	Solid Ink	Standard	Color	TEC 2
	Thermal Transfer	Standard	Color	TEC 2
	Thermal Transfer	Standard	Monochrome	TEC 1

TABLE 15 - Qualifying Products: TEC Approach (continued)

Product Area	Marking Technology	Size Format	Color Capability	OM Table
	Direct Thermal	Large	Monochrome	OM 1
	Dye Sublimation	Large	Color & Monochrome	OM 1
Copiers	EP	Large	Color & Monochrome	OM 1
	Solid Ink	Large	Color	OM 1
	Thermal Transfer	Large	Color & Monochrome	OM 1
Fax Machines	Ink Jet	Standard	Color & Monochrome	OM 2
	Direct Thermal	N/A	Monochrome	OM 4
Mailing	EP	N/A	Monochrome	OM 4
Machines	Ink Jet	N/A	Monochrome	OM 4
	Thermal Transfer	N/A	Monochrome	OM 4
	Direct Thermal	Large	Monochrome	OM 1
	Dye Sublimation	Large	Color & Monochrome	OM 1
Multifuncti	EP	Large	Color & Monochrome	OM 1
on Devices (MFDs)	Ink Jet	Standard	Color & Monochrome	OM 2
	Ink Jet	Large	Color & Monochrome	OM 3
	Solid Ink	Large	Color	OM 1
	Thermal Transfer	Large	Color & Monochrome	OM 1

TABLE 16 - Qualifying Products: Operational Mode Approach

Product Area	Marking Technology	Size Format	Color Capability	OM Table
	Direct Thermal	Large	Monochrome	OM 8
	Direct Thermal	Small	Monochrome	OM 5
	Dye Sublimation	Large	Color & Monochrome	OM 8
	Dye Sublimation	Small	Color & Monochrome	OM 5
	EP	Large	Color & Monochrome	OM 8
	EP	Small	Color	OM 5
	Impact	Large	Color & Monochrome	OM 8
Printers	Impact	Small	Color & Monochrome	OM 5
	Impact	Standard	Color & Monochrome	OM 6
	Ink Jet	Large	Color & Monochrome	OM 3
	Ink Jet	Small	Color & Monochrome	OM 5
	Ink Jet	Standard	Color & Monochrome	OM 2
	Solid Ink	Large	Color	OM 8
	Solid Ink	Small	Color	OM 5
	Thermal Transfer	Large	Color & Monochrome	OM 8
	Thermal Transfer	Small	Color & Monochrome	OM 5
Scanners	N/A	Large, Small & Standard	N/A	OM 7

TABLE 16 –	Qualifying	Draduata: O	marational	Mada Ar	mraah	(a a set i seco d)
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C. Energy-Efficiency Specifications for Qualifying Products

Only those products listed in Section VII.B above that meet the following criteria may qualify as Energy Star.

<u>Products Sold with an External Power Adapter</u>: To qualify, imaging equipment products using a single-voltage external ac-dc or ac-ac power adapter must use an ENERGY STAR qualified adapter, or one that meets the ENERGY STAR External Power Supply (EPS) specification when tested to the ENERGY STAR test method on the date the imaging product is qualified as ENERGY STAR. The ENERGY STAR specification and test method for single voltage external ac-dc and ac-ac power supplies may be found at <u>www.energystar.gov/products</u>.

<u>Products Designed to Operate with an External DFE</u>: To qualify, an imaging equipment product that is sold with a DFE that uses its own ac power source must use an ENERGY STAR qualified DFE, or one that meets the ENERGY STAR Computer specification when tested to the ENERGY STAR test method on the date the imaging product is qualified as ENERGY STAR. The ENERGY STAR specification and test method for computers may be found at <u>www.energystar.gov/products</u>.

<u>Products Sold with an Additional Cordless Handset</u>: To qualify, fax machines or MFDs with fax capability that are sold with additional cordless handsets must use an ENERGY STAR qualified handset, or one that meets the ENERGY STAR Telephony specification when tested to the ENERGY STAR test method on the date the imaging product is qualified as ENERGY STAR. The ENERGY STAR specification and test method for telephony products may be found at <u>www.energystar.gov/products</u>.

<u>Duplexing</u>: Standard-size copiers, MFDs, and printers that use EP, SI, and heat-intensive IJ marking technologies addressed by the TEC approach in Section VII.C.1 must meet the following duplexing requirements, based on product speed:

Product Speed	Duplexing Requirement	
≤ 19 ipm	N/A	
20 – 39 ipm	Automatic duplexing must be offered as a standard feature or optional accessory at the time of purchase.	
≥ 40 ipm	Automatic duplexing is required as a standard feature at the time of purchase.	

TABLE 17 – Duplexing Requirements for Color Copiers, MFDs, and Printers

Product Speed	Duplexing Requirement	
≤ 24 ipm	N/A	
25 – 44 ipm	Automatic duplexing must be offered as a standard feature or optional accessory at the time of purchase.	
≥ 45 ipm	Automatic duplexing is required as a standard feature at the time of purchase.	

TABLE 18 – Duplexing Requirements for Monochrome Copiers, MFDs, and Printers

1. ENERGY STAR Eligibility Criteria – TEC.

To qualify as ENERGY STAR, the TEC value obtained for imaging equipment outlined in Section VII.B. Table 15 above must not exceed the corresponding criteria below.

For imaging products with a functionally-integrated DFE that relies on the imaging product for its power, manufacturers should subtract the DFE's energy consumption in Ready mode from the product's total TEC result before comparing the product's TEC to the criteria limits below. In order to take advantage of this allowance, the DFE must meet the definition in Section VII.A.29. and be a separate processing unit that is c

<u>Example</u>: A printer's total TEC result is 24.5 kWh/week and its internal DFE consumes 50W in Ready mode. 50W x 168 hours/week = 8.4 kWh/week, which is then subtracted from the tested TEC value: 24.5 kWh/week – 8.4 kWh/week = 16.1 kWh/week. 16.1 kWh/week is then compared to the following criteria.

e of initiating activity over the network.

Note: In all of the following equations, x = Product speed (ipm).

TABLE 19 - TEC Table 1

Product(Product(s): Copiers, Digital Duplicators, Fax Machines, Printers			
	Size Format(s): Standa	urd-size		
Marking Tech	nnologies: DT, Mono DS, Mono	EP, Mono Stencil, Mono TT		
	<u>Tier I</u> <u>Tier II</u>			
Product Speed (ipm)	Maximum TEC (kWh/week)	Maximum TEC (kWh/week)		
≤ 12 1.5 kWh TBD				
12 < ipm ≤ 50	(0.20 kWh/ipm)x – 1 kWh	TBD		

> 50 ipm	(0.80 kWh/ipm)x – 31 kWh	TBD			
TABLE 20 - TEC Table 2					
Product(s): Copiers, Digital Duplicators, Fax Machines, Printers					
Size Format(s): Standard-size					
Marking Tec	Marking Technologies: Color DS, Color Stencil, Color TT, Color EP, SI				

	<u>Tier I</u>	<u>Tier II</u>
Product Speed (ipm)	Maximum TEC (kWh/week)	Maximum TEC (kWh/week)
<i>≤ 50</i>	(0.20 kWh/ipm)x + 2 kWh	TBD
> 50	(0.80 kWh/ipm)x – 28 kWh	TBD

TABLE 21 - TEC Table 3

Product(s): MFDs			
Size Format(s): Standard-size			
Marking Technologies: DT, Mono DS, Mono EP, Mono TT			
	<u>Tier I</u>	<u>Tier II</u>	
Product Speed (ipm)	Maximum TEC (kWh/week)	Maximum TEC (kWh/week)	
<i>≤ 20</i>	(0.20 kWh/ipm)x + 2 kWh	TBD	
20 < ipm ≤ 69	(0.44 kWh/ipm)x – 2.8 kWh	TBD	
> 69	(0.80 kWh/ipm)x – 28 kWh	TBD	

Product(s): MFDs			
Size Format(s): Standard-size			
Marking Technologies: Color DS, Color TT, Color EP, SI			
	<u>Tier I</u>	<u>Tier II</u>	
Product Speed (ipm)	Maximum TEC (kWh/week)	Maximum TEC (kWh/week)	
≤ 32	(0.20 kWh/ipm)x + 5 kWh	TBD	
32 < ipm ≤ 61	(0.44 kWh/ipm)x – 2.8 kWh	TBD	
> 61	(0.80 kWh/ipm)x – 25 kWh	TBD	

TABLE 22 - TEC Table 4

2. ENERGY STAR Eligibility Criteria – OM

To qualify as ENERGY STAR, the power consumption values for imaging equipment outlined in Section VII.B. Table 16 above must not exceed the corresponding criteria below. For products that meet the Sleep-mode power requirement in Ready mode, no further automatic power reductions are required to meet the Sleep criterion. Additionally, for products that meet the Standby-power requirements in Ready or Sleep mode, no further power reductions are required to earn the ENERGY STAR.

For imaging products with a functionally-integrated DFE that relies on the imaging product for its power, the power consumption of the DFE should be excluded when comparing the product's measured Sleep to the combined marking-engine and functional-adder criteria limits below. The DFE must not interfere with the ability of the imaging product to enter or exit its lower-power modes. In order to take advantage of this exclusion, the DFE must meet the definition in Section VII.A.29 and be a separate processing unit that is capable of initiating activity over the network.

<u>Default Delay Time Requirements</u>: To qualify for ENERGY STAR, OM products must meet the default-delay time settings provided in Tables 23 through 25 below for each product type, enabled upon product shipment. In addition, all OM products must be shipped with a maximum **machine** delay time not in excess of four hours, which is only adjustable by the manufacturer. This maximum machine delay time cannot be influenced by the user and typically cannot be modified without internal, invasive product manipulation. The default-delay-time settings provided in Tables 23 through 25 may be user adjustable.

TABLE 23 - Maximum Default Delay Times to Sleep for Small-format and Standard-size OM Products, Excluding Mailing Machines, in Minutes

Product Speed (ipm)	Fax Machines	MFDs	Printers	Scanners
0 - 10	5	15	5	15
11 - 20	5	30	15	15
21 - 30	5	60	30	15
31 - 50	5	60	60	15
51 +	5	60	60	15

TABLE 24: Maximum Default Delay Times to Sleep for Large-format OM Products, Excluding Mailing Machines, in Minutes

Product Speed (ipm)	Copiers	MFDs	Printers	Scanners
0 - 10	30	30	30	15
11 – 20	30	30	30	15
21-30	30	30	30	15
31 - 50	30	60	60	15
51 +	60	60	60	15

TABLE 25: Maximum Default Delay Times to Sleep for Mailing Machines in Minutes

Product Speed	Mailing Machines	
(mppm)		
0 - 50	20	
51 - 100	30	
101 – 150	40	
151 +	60	

<u>Standby Requirements</u>: To qualify for ENERGY STAR, OM products must meet the Standby power criteria provided in Table 26 for each product type.

Format	Standby (W) – Tier 1	Standby (W) – Tier 2
All Small Format and Standard-size OM Products without Fax Capability	1	Tier 1 levels remain unchanged
All Small Format and Standard-size OM Products with Fax Capability	2	Tier 1 levels remain unchanged
All Large Format OM Products and Mailing Machines	N/A	TBD

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TABLE 26: Maximum Standby Power Levels for OM Products in Watts

The eligibility criteria in OM Tables 1 through 8 (Tables 26-33) below address the marking engine of the product. Since products are expected to be shipped with one or more functions beyond a basic marking engine, the corresponding allowances below should be added to the marking engine criteria for Sleep. The total value for the base product with applicable "functional adders" should be used to determine eligibility. Manufacturers may apply no more than **three** Primary functional adders to each product model, but may apply as many Secondary adders as present (with Primary adders in excess of three included as Secondary adders). An example of this approach is provided below:

<u>Example</u>: Consider a Standard-size IJ printer with a USB 2.0 connection and a memory card connection. Assuming the USB connection is the Primary interface used during the test, the printer model would receive a functional-adder allowance of 0.5 W for USB and 0.1 for the memory card reader, for a total of 0.6 W of total functional-adder allowances. Since OM Table 2 (Table 27) provides a Sleep mode marking-engine criterion of 3 W, to determine qualification under ENERGY STAR, the manufacturer would sum the Sleep mode marking-engine criterion with the applicable functional-adder allowances to determine the maximum power consumption permitted for qualification of the base product: 3 W + 0.6 W. If the power consumption of the printer in Sleep mode measures at or below 3.6 W, then the printer would meet the ENERGY STAR Sleep criterion.

TABLE 25 – Qualifying Products: OM Functional Adders

Туре	Details	Functional A	dder Allowances (W)	
		Primary	Secondary	
Interfaces	A. Wired < 20 MHz	0.3	0.2	
	A physical data- or network-connection por capable of a transfer rate < 20 MHz. 1284/Parallel/Centronics and RS232.			
	B. Wired \geq 20 MHz and $<$ 500 MHz	0.5	0.2	
	A physical data- or network-connection port present on the imaging product capable of a transfer rate ≥ 20 MHz and < 500 MHz. Includes USB 2.x, 1394/FireWire/i.LINK, and 100Mb Ethernet.			
	C. Wired \geq 500 MHz	1.5	0.5	
	A physical data- or network-connection port present on the imaging product that is capable of a transfer rate \geq 500 MHz. Includes 1G Ethernet.			
	D. Wireless	0.7		
	A data- or network-connection interface present on the imaging product that is designed to transfer data via radio-frequency wireless means includes Bluetooth and 802.11.			
	E. Wired card/camera/storage	0.5	0.1	
	A physical data- or network-connection port present on the imaging product that is designed to allow the connection of an external device, such as flash memory-card/smart-card readers and camera interfaces (including PictBridge).			
	G. Infrared	0.2	0.2	
	A data- or network-connection interface p designed to transfer data via infrared technological			

TABLE 25 – Qualifying Products: OM Functional Adders (continued)

Туре	Details	Functional A	dder Allowances (W)	
		Primary	Secondary	
Other	Storage	-	0.2	
	Internal storage drives present on the imagin (e.g., disk drives, DVD drives, Zip drives), adder does not cover interfaces to external dr	and applies to ea	ch separate drive. This	
	Scanners with CCFL lamps	-	2.0	
	The presence of a scanner that uses Col- technology. This adder is applied only on number of lamps/bulbs employed.		/	
	Scanners with non-CCFL lamps	-	0.5	
	The presence of a scanner that uses a lamp technology other than CCFL. This adder is applied only once, regardless of the lamp size or the number of lamps/bulb employed. This adder addresses scanners using Light-Emitting Diode (LED Halogen, Hot-Cathode Fluorescent Tube (HCFT), Xenon, or Tubular Fluorescent (TL) technologies.			
	PC-based system (cannot print/copy/scan0.5 without use of significant PC resources)			
	This adder applies to imaging products that rely on an external consignificant resources, such as memory and data processing, to perfunctions commonly performed by imaging products independently, s rendering. This adder does not apply to products that simply use a consource or destination for image data.		ing, to perform basic endently, such as page	
	Cordless handset	-	0.8	
	The capability of the imaging product to communicate with a cordless handset. This adder is applied only once, regardless of the number of cordless handsets the product is designed to handle. This adder does not address the power requirements of the cordless handset itself.			
	Memory	-	1.0 W per 1 GB	

TABLE 25 – Qualifying Products: OM Functional Adders (continued)

	The internal capacity available in the imaging product for storing data. This adder applies to all volumes of internal memory and should be scaled accordingly. For example, a unit with 2.5 GB of memory would receive an allowance of 2.5 W while a unit with 0.5 GB would receive an allowance of 0.5 W.			
Other	Power-supply (PS) size, based on PS output rating (OR)-For PSOR > 10 W, 0.05 x (PSOR - 10 W)[Note: this adder does not apply to scanners]-W)			
	This adder applies to all imaging products except for scanners. The allowance is calculated from the internal or external power supply's rated DC output as specified by the power supply manufacturer. (It is not a measured quantity). For <u>example</u> , a unit that is rated to provide up to 3 A at 12 V has a PSOR of 36 W and would receive an allowance of $0.05 \times (36-10) = 0.05 \times 26 = 1.3$ W of power supply allowance. For supplies that provide more than one voltage, the sum of power from all voltages is used unless the specifications note that there is a rated limit lower than this. For example, a supply which can supply 3A of 24 V and 1.5 A of 5 V output has a total PSOR of $(3 \times 24) + (1.5 \times 5) = 79.5$ W, and an allowance of 3.475 W.			

For the adder allowances shown in Qualifying Products Table 25 above, distinctions are made for "Primary" and "Secondary" types of adders. These designations refer to the state in which the interface is required to remain while the imaging product is in Sleep. Connections that remain active during the OM test procedure while the imaging product is in Sleep are defined as Primary, while connections that can be inactive while the imaging product is in Sleep are defined as Secondary. Most functional adders typically are Secondary types.

Manufacturers should consider only the adder types that are available on a product in its as-shipped configuration. Options available to the consumer after the product is shipped or interfaces that are present on the product's externally-powered digital front-end (DFE) should <u>not</u> be considered when applying allowances to the imaging product.

For products with multiple interfaces, these interfaces should be considered as unique and separate. However, interfaces that perform multiple functions should only be considered once. For example, a USB connection that operates as both 1.x and 2.x may be counted only once and given a single allowance. When a particular interface may fall under more than one interface Type according to the table, the manufacturer should choose the function that the interface is primarily designed to perform when determining the appropriate adder allowance. For example, a USB connection on the front of the imaging product that is marketed as a PictBridge or "camera interface" in product literature should be considered a Type E interface rather than a Type B interface. Similarly, a memory-card-reader slot that supports multiple formats may only be counted once. Further, a system that supports more than one type of 802.11 may count wireless interface. as only one

Product(s): Copiers, MFDs		
Size Format(s): Large Format		
Marking Technologies: Color DS, Color TT, DT, Mono DS, Mono EP, Mono TT, Color EP, SI		
	Sleep (W)	
Marking Engine	58	

TABLE 26 - OM Table 1

TABLE 27 - OM Table 2

Product(s): Fax Machines, MFDs, Printers		
Size Format(s): Standard-size		
Marking Technologies: Color IJ, Mono IJ		
Sleep (W)		
Marking Engine	3	

TABLE 28 - OM Table 3

Product(s): MFDs, Printers		
Size Format(s): Large Format		
Marking Technologies: Color IJ, Mono IJ		
Sleep (W)		
Marking Engine 13		

TABLE 29 – OM Table 4

Product(s): Mailing Machines		
Size Format(s): N/A		
Marking Technologies: DT, Mono EP, Mono IJ, Mono TT		
Sleep (W)		
Marking Engine	3	

Product(s): Printers		
Size Format(s): Small Format		
Marking Technologies: Color DS, DT, Color IJ, Color Impact, Color TT, Mono DS, Mono EP, Mono IJ, Mono Impact, Mono TT, Color EP, SI		
Sleep (W)		
Marking Engine	3	

TABLE 30 - OM Table 5

TABLE 31 - OM Table 6

	Product(s): Printers			
Size Format(s): Standard-size				
Marki	Marking Technologies: Color Impact, Mono Impact			
	Sleep (W)			
Marking Engine	6			

TABLE 32 - OM Table 7

	Product(s): Scanners			
Size Format(s): Large Format, Small Format, Standard-size				
	Marking Technologies: N/A			
	Sleep (W)			
Scanning Engine	Scanning Engine 5			

TABLE 33 - OM Table 8

	Product(s): Printers		
	Size Format(s): Large Format		
Marking Technologies	: Color DS, Color Impact, Color TT, DT, Mono DS, Mono EP, Mono Impact, Mono TT, Color EP, SI		
Sleep (W)			
Marking Engine 54			

D. Testing Guidelines

The specific instructions for testing the energy efficiency of imaging equipment products are outlined in three separate sections below entitled:

- Typical Electricity Consumption Test Procedure;
- Operational Mode Test Procedure; and
- Test Conditions and Equipment for ENERGY STAR Imaging Equipment Products.

The test results produced by these procedures shall be used as the primary basis for determining ENERGY STAR qualification.

Manufacturers are required to perform tests and self-certify those product models that meet the Energy Star guidelines. Families of imaging equipment models that are built on the same chassis and are identical in every respect except for housing and color may be qualified through submission of test data for a single, representative model. Likewise, models that are unchanged or that differ only in finish from those sold in a previous year may remain qualified without the submission of new test data, assuming the specification remains unchanged.

If a product model is offered in the market in multiple configurations as a product "family" or series, the partner may test and report the highest configuration available in the family, rather than each and every individual model. When submitting model families, manufacturers continue to be held accountable for any efficiency claims made about their imaging products, including those not tested or for which data was not reported.

<u>Example</u>: Models A and B are identical, with the exception that model A is shipped with a wired interface > 500 MHz, and model B is shipped with a wired interface < 500 MHz. If model A is tested and meets the ENERGY STAR specification, then the partner may report the test data solely for model A, to represent both models A and B.

If a product's electrical power comes from Mains, USB, IEEE1394, Power-over-Ethernet, telephone system, or any other means or combinations of means, the net AC electrical power consumed by the product (taking into account ac-to-dc conversion losses, as specified in the OM test procedure) must be used for qualification.

1. Additional testing and reporting requirements are provided below.

Number of Units Required for Test

Testing shall be conducted by the manufacturer or its authorized representative on a single unit of a model.

- (a) For products outlined in Section VII.B Table 15 of this specification, if the initial unit tested has TEC test results that meet the eligibility criteria but fall within 10% of the criteria level, one additional unit of the same model must also be tested. Manufacturers shall report values for both units. To qualify as ENERGY STAR, both units must meet the ENERGY STAR specification.
- (b) For products outlined in Section VII.C Table 16 of this specification, if the initial unit tested has OM test results that meet the eligibility criteria but fall within 15% of the criteria level in any of the specified operating modes for that

product type, then two more units shall be tested. To qualify as ENERGY STAR, all three units must meet the ENERGY STAR specification.

Submittal of Qualified Product Data to EPA or the European Commission, as appropriate

Partners are required to self-certify those product models that meet the ENERGY STAR guidelines and report information to EPA or the European Commission, as appropriate. The information to be reported for products shall be outlined shortly following publication of the final specification. In addition, partners must submit to EPA or the European Commission, as appropriate, excerpts from product literature that explain to consumers the recommended default delay-times for power management settings. The intent of this requirement is to support that products are being tested as shipped and recommended for use.

Models Capable of Operating at Multiple Voltage/Frequency Combinations

Manufacturers shall test their products based on the market(s) in which the models will be sold and promoted as ENERGY STAR qualified. EPA, the European Commission and their ENERGY STAR Country Partners have agreed upon a table with three voltage/frequency combinations for testing purposes. Please refer to the Imaging Equipment **Test Conditions** for details regarding international voltage/frequency and paper sizes for each market.

For products that are sold as ENERGY STAR in multiple international markets and therefore rated at multiple input voltages, the manufacturer must test at and report the required power consumption or efficiency values at all relevant voltage/frequency combinations. For example, a manufacturer that is shipping the same model to the United States and Europe must measure, meet the specification, and report test values at both 115 Volts/60 Hz and 230 Volts/50 Hz in order to qualify the model as ENERGY STAR in both markets. If a model qualifies as ENERGY STAR at only one voltage/frequency combination (e.g., 115 Volts/60 Hz), then it may only be qualified and promoted as ENERGY STAR in those regions that support the tested voltage/frequency combination (e.g., North America and Taiwan).

2. Typical Electricity Consumption (TEC) Test Procedure

- (a) <u>Types of Products Covered</u>: The TEC test procedure is for the measurement of Standard-size products defined in Section VII.B Table 15.
- (b) <u>Test Parameters</u>

This section describes the test parameters to use when measuring a product under the TEC test procedure. This section does not cover test conditions, which are outlined in Section VII.D.4, below.

Testing in Simplex

Products shall be tested in simplex mode. Originals for copying shall be simplex images.

Test Image

The test image is Test Pattern A from ISO/IEC standard 10561:1999. It shall be rendered in 10 point size in a fixed-width Courier font (or nearest equivalent); German-specific characters need not be reproduced if the product is incapable of doing so. The image shall be rendered on an 8.5" x 11" or A4 sheet of paper, as appropriate for the intended market. For printers and MFDs that can interpret a page description language (PDL) (e.g., PCL, Postscript), images shall be sent to the product in a PDL.

Testing in Monochrome

Color-capable products shall be tested making monochrome images unless incapable of doing so.

Auto-off and Network Enabling

The product shall be configured as-shipped and recommended for use, particularly for key parameters such as power-management default-delay times and resolution (except as specified below). All information from the manufacturer about recommended delay times shall be consistent with the as-shipped configuration, including those in operating manuals, on Web sites, and that provided by installation personnel. If a printer, digital duplicator or MFD with print-capability, or fax machine has an Auto-off capability and it is enabled as shipped, it shall be disabled prior to the test. Printers and MFDs that are capable of being network-connected as-shipped23 shall be connected to a network. The type of network connection (or other data connection if not capable of being networked) is at the discretion of the manufacturer, and the type used shall be reported. Print jobs for the test may be sent over non-network connections (e.g., USB), even on those units that are network-connected.

Product Configuration

Paper source and finishing hardware shall be present and configured as-shipped and recommended for use; however, their use in the test is at the manufacturer's discretion (e.g., any paper source may be used). Anti-humidity features may be turned off if user-controllable. Any hardware that is part of the model and intended to be installed or attached by the user (e.g., a paper feature) shall be installed prior to this test.

Digital Duplicators

Digital duplicators should be set up and used in accordance with their design and capabilities. For example, each job should include only one original image. Digital duplicators shall be tested at maximum claimed speed, which is also the speed that should be used to determine the job size for performing the test, not at the default speed as-shipped, if different. Digital duplicators shall be otherwise treated as printers, copiers, or MFDs, depending on their capabilities as shipped.

(c) Job Structure

²³ The type of network connection shall be reported. Common types are Ethernet, 802.11, and Bluetooth. Common non-network data connection types are USB, Serial, and Parallel.

This section describes how to determine the number of *images per job* to use when measuring a product under the TEC test procedure, and *jobs per day* for the TEC calculation.

For purposes of this test procedure, the speed of the product that is used to determine the job size for the test shall be the manufacturer's reported maximum claimed simplex speed for making monochrome images on standard-sized paper (8.5" x 11" or A4), rounded to the nearest integer. This speed will also be used for reporting purposes as the Product Speed of the model. The default output speed of the product, which shall be used in the actual testing, is not measured and may differ from the maximum claimed speed due to factors such as settings for resolution, image quality, printing modes, document scan time, job size and structure, and paper size and weight.

Fax machines should always test with one image per job. The number of images per job to be used for all other IE products shall be computed according to the following three steps. For convenience, Table 37 provides the resultant images per job computation for each integral Product Speed up through 100 images per minute (ipm).

- (i) Calculate the number of *jobs per day*. The number of jobs per day varies with Product Speed:
- For units with a speed of eight ipm or less, use eight jobs per day.
- For units with a speed between eight and 32 ipm, the number of jobs per day is equal to the speed. For example, a 14 ipm unit shall use 14 jobs per day.
- For units with a speed of 32 ipm and above, use 32 jobs per day.
 - (ii) Calculate the nominal amount of *images per day*²⁴ from Table 34. For example, a 14 ipm unit shall use 0.50×14^2 , or 98 images per day.

Product type	Rating to use	Formula (images per day)		
Monochrome (except fax)	monochrome speed	0.50 x ipm^2		
Color (except fax)	monochrome speed	0.50 x ipm^2		

TABLE 34 Imaging Equipment Job Table

(iii) Calculate the number of *images per job* by dividing the number of images per day by the number of jobs per day. Round down (truncate) to the nearest integer. For example, a figure of 15.8 indicates that 15 images should be made per job, rather than rounding to 16 images per job.

For copiers below 20 ipm, there shall be one original per required image. For jobs with large numbers of images, such as those for machines greater than 20 ipm, it may not be possible to match the number of required images, particularly with limits on the capacity of document feeders. Therefore, copiers 20 ipm and

²⁴ Interim Images/Day in Table 37.

above may make multiple copies of each original as long as the number of originals is at least ten. This may result in more images being made than required. As an example, for a 50 ipm unit that requires 39 images per job, the test may be done with four copies of ten originals or three copies of 13 originals.

(d) Measurement Procedures

To measure time, an ordinary stopwatch and timing to a resolution of one second is sufficient. All energy figures are to be recorded as watt-hours (Wh). All time is to be recorded in seconds or minutes. "Zero meter" references are to the "Wh" readout of the meter. Tables 35 and 36 outline the steps of the TEC procedure.

Service/maintenance modes (including color calibration) should generally not be included in TEC measurements. Any such modes that occur during the test shall be noted. If a service mode occurs during a job other than the first, that job may be dropped and a substitute job added to the test. In the case a substitute job is needed, do not record the energy values for the dropped job and add the substitute job immediately after Job 4. The 15-minute job interval shall be maintained at all times, including for the job that is dropped.

MFDs without print capability are to be treated as copiers for all purposes of this test procedure.

(i) Procedure for Printers, Digital Duplicators and MFDs with Print Capability, and Fax Machines

Step	Initial State	Action	Record (at end of step)	Possible States Measured	
1	Off	Plug the unit into meter. Zero the meter;	Off energy	Off	
		wait test period (five minutes or more).	Testing Interval <i>time</i>		
2	Off	Turn on unit. Wait until unit indicates it is in Ready mode.	_	_	
3	Ready	Print a job of at least one output image but no more than a single job per Job Table. Record time to first sheet exiting unit. Wait until the meter shows that the unit has entered its final Sleep mode.	Active0 time	_	
4	Sleep	Zero meter; wait one hour.	Sleep energy	Sleep	
5	Sleep	Zero meter and timer. Print one job per Job Table. Record time to first sheet exiting	Job1 energy	Recovery, Active, Ready,	
		unit. Wait until timer shows that 15 minutes have elapsed.	Active1 time	Sleep	
6	Ready	Repeat Step 5.	Job2 energy	Same as above	
			Active2 time		
7	Ready	Repeat Step 5 (without Active time measurement).	Job3 energy	Same as above	
8	Ready	Repeat Step 5 (without Active time measurement).	Job4 energy	Same as above	
9	Ready	Zero meter and timer. Wait until meter	Final <i>time</i>	Ready, Sleep	
		and/or unit shows that unit has entered its final Sleep mode.	Final <i>energy</i>	_	

TABLE 35 - TEC Test Procedure — Printers, Digital Duplicators and MFDs with PrintCapability, and Fax Machines

Notes:

- Before beginning the test, it is helpful to check the power management default-delay times to ensure they are as-shipped, and to confirm that there is plenty of paper in the device.
- "Zero meter" references may be accomplished by recording the accumulated energy consumption at that time rather than literally zeroing the meter.
- Step 1 The Off measurement period can be longer if desired to reduce measurement error. Note that the Off
 power is not used in the calculations.
- Step 2 If the unit has no Ready indicator, use the time at which the power consumption level stabilizes to the Ready level.
- Step 3 After recording the Active0 time, the remainder of this job can be canceled.

- Step 5 The 15 minutes is from the job initiation. The unit must show increased energy consumption within
 five seconds of zeroing the meter and timer; it may be necessary to initiate the printing before zeroing to
 assure this.
- Step 6 A unit that is shipped with short default-delay times might begin Steps 6-8 from Sleep.
- Step 9 Units may have multiple Sleep modes so that all but the last Sleep mode are included in the Final period.

Each image shall be sent separately; they all may be part of the same document, but shall not be specified in the document as multiple copies of a single original image (unless the product is a digital duplicator, as specified in Section VII.D.2(b)).

For fax machines, which only use one image per job, the page shall be fed into the unit's document feeder for convenience copying, and may be placed in the document feeder before the test begins. The unit need not be connected to a telephone line unless the telephone line is necessary for performing the test. For example, if the fax machine lacks convenience copying capability, then the job performed in Step 2 shall be sent via phone line. On fax machines without a document feeder, the page should be placed on the platen.

(ii) Procedure for Copiers, Digital Duplicators, and MFDs without Print Capability

Step	Initial State	Action	Record (at end of step)	Possible States Measured
1	Off	Plug the unit into meter. Zero the meter; wait test period (five minutes or more).	<i>Off energy</i> Testing Interval time	Off
2	Off	Turn on unit. Wait until unit indicates it is in – Ready mode.		-
3	Ready	Copy a job of at least one image but no more than a single job per Job Table. Record time to first sheet exiting unit. Wait until the meter shows that the unit has entered its final Sleep mode.	Active0 <i>time</i>	_
4	4 Sleep Zero meter; Wait one hour. If unit turns Off in less than one hour, record time and energy in Sleep, but wait full hour before moving to Step 5.		Sleep energy	Sleep
			Testing Interval <i>time</i>	
5	Sleep	Zero meter and timer. Copy one job per Job	Job1 energy	Recovery,

TABLE 36 - TEC Test Procedure — Copiers, Digital Duplicators, and MFDs without Print Capability

Step	Initial State	Action	Record (at end of step)	Possible States Measured
		Table. Record time to first sheet exiting unit. Wait until timer shows that 15 minutes has elapsed.	Active1 time	Active, Ready, Sleep, Auto-off
6	Ready	Repeat Step 5.	Job2 energy	Same as above
			Active2 time	
7	Ready	Repeat Step 5 (without Active time measurement).	Job3 energy	Same as above
8	Ready	Repeat Step 5 (without Active time measurement).	Job4 energy	Same as above
9	Ready	Zero meter and timer. Wait until meter and/or	Final <i>energy</i>	Ready, Sleep
		unit shows that unit has entered its Auto-off mode.	Final <i>time</i>	
10	Auto- off	Zero the meter; wait test period (five minutes or more).	Auto-off energy	Auto-off

Notes:

- Before beginning the test, it is helpful to check the power management default-delay times to ensure they are as-shipped, and to confirm that there is plenty of paper in the device.
- "Zero meter" references may be accomplished by recording the accumulated energy consumption at that time
 rather than literally zeroing the meter.
- Step 1 The Off measurement period can be longer if desired to reduce measurement error. Note that the Off
 power is not used in the calculations.
- Step 2 If the unit has no Ready indicator, use the time at which the power consumption level stabilizes to the Ready level.
- Step 3 After recording the Active0 time, the remainder of this job can be canceled.
- Step 4 If the unit turns off within this hour, record the Sleep energy and time at that point in time, but wait until a full hour has elapsed since the final Sleep mode was initiated before beginning Step 5. Note that the Sleep power measurement is not used within the calculation, and the unit may enter Auto-off within the full hour.
- Step 5 The 15 minutes is from the job initiation. In order to be evaluated by this test procedure, products must be able to complete the required job per the Job Table within the 15-minute job interval.
- Step 6 A unit that is shipped with short default-delay times might begin Steps 6-8 from Sleep or Auto-off.
- Step 9 If the unit has already entered Auto-off before the start of Step 9, then the values for final energy and final time are zero.
- Step 10 The Auto-off testing interval may be longer to improve accuracy.

Originals may be placed in the document feeder before the test begins. Products without a document feeder may make all images off of a single original placed on the platen.

(iii) Additional Measurement for Products with a Digital Front End (DFE)

This step applies only to products that have a DFE as defined in Section VII.A.29.

If the DFE has a separate mains power cord, regardless of whether the cord and controller are internal or external to the imaging product, a fiveminute energy measurement of the DFE alone shall be made while the main product is in Ready mode. The unit must be connected to a network if network-capable as shipped.

If the DFE does not have a separate mains power cord, the manufacturer shall document the ac power required for the DFE when the unit as a whole is in a Ready mode. This will most commonly be accomplished by taking an instantaneous power measurement of the dc input to the DFE and increasing this power level to account for losses in the power supply.

(e) Calculation Methods

The TEC value reflects assumptions about how many hours a day the product is in general use, the pattern of use during those hours, and the default-delay times that the product uses to transition to lower power modes. All electricity measurements are made as accumulated energy over time, and then converted to power by dividing by the length of the time period.

The calculations are based on imaging jobs being in two clusters each day with the unit going to its lowest power mode in between (as during a lunch break), as illustrated in Figure 2, which can be found at the end of this document. It is assumed that weekends have no usage, and no manual switching-off is done.

Final Time is the period of time from the last job being initiated to the start of the lowest power mode (Auto-off for copiers, digital duplicators and MFDs without print-capability; and Sleep for printers, digital duplicators and MFDs with print-capability, and fax machines) minus the 15-minute job interval time.

The following two equations are used for all product types:

Average Job Energy = (Job2 + Job3 + Job4) / 3

Daily Job Energy = $(Job1 \times 2) + [(Jobs per Day - 2) \times Average Job Energy)]$

The calculation method for **printers**, **digital duplicators and MFDs with print-capability**, and fax machines also uses the following three equations:

Daily Sleep Energy = $[24 \text{ hours} - ((Jobs \text{ per } day / 4) + (Final Time \times 2))] \times Sleep Power$

Daily Energy = Daily Job Energy + (2 × Final Energy) + Daily Sleep Energy

 $TEC = (Daily Energy \times 5) + (Sleep Power \times 48)$

The calculation method for **copiers**, **digital duplicators**, **and MFDs without print-capability** also uses the following three equations:

Daily Auto-off Energy = $[24 \text{ hours} - ((Jobs \text{ per } day / 4) + (Final Time \times 2))] \times Auto-off Power$

Daily Energy = Daily Job Energy + (2 × Final Energy) + Daily Auto-off Energy

 $TEC = (Daily Energy \times 5) + (Auto-off Power \times 48)$

The specifications of the metering equipment and ranges used in each measurement shall be reported. Measurements must be conducted so as to result in a total potential error of the TEC value of no more than 5%. Accuracy does not need to be reported for cases where the potential error is below 5%. When the potential measurement error is close to 5%, manufacturers should take measures to confirm that it complies with the 5% limit.

(f) References

ISO/IEC 10561:1999. Information technology — Office equipment — Printing devices — Method for measuring throughput — Class 1 and Class 2 printers.

TABLE 37 - Job Table Calculated

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FIGURE 2 - TEC Measurement Procedure

Figure 2 shows a graphic form of the measurement procedure. Note that products with short default-delay times may include periods of Sleep within the four job measurements, or Autooff within the Sleep measurement in Step 4. Also, print-capable products with just one Sleep mode will not have a Sleep mode in the final period. Step 10 only applies to copiers, digital duplicators, and MFDs without print-capability.

FIGURE 3 - A Typical Day

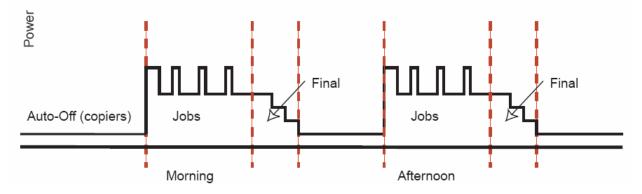


Figure 3 shows a schematic example of an eight-ipm copier that performs four jobs in morning, four jobs in afternoon, has two "final" periods and an Auto-off mode for the remainder of the workday and all of the weekend. An assumed "lunchtime" period is implied but not explicit. The figure is **not** drawn to scale. As shown, jobs are always 15 minutes apart and in two clusters. There are always two full "final" periods regardless of the length of these periods. Printers, digital duplicators and MFDs with print capability, and fax machines use Sleep rather than Auto-off as the base mode but are otherwise treated the same as copiers.

3. Operational Mode (OM) Test Procedure

- (a) <u>Types of Products Covered</u>: The OM Test Procedure is for the measurement of products defined in Section VII.B, Table 16.
- (b) <u>Test Parameters</u>

This section describes the test parameters to use when measuring a product's power consumption under the OM Test Procedure.

Network Connectivity

Products that are capable of being network-connected as-shipped25 shall be connected to at least one network during the test procedure. The type of network connection that is active is at the discretion of the manufacturer, and the type used shall be reported.

The product shall not receive operating power over the network connection (e.g., via Power over Ethernet, USB, USB PlusPower, or IEEE 1394) unless that is the only source of power for the product (i.e., no ac power source is present).

Product Configuration

The product shall be configured as shipped and recommended for use, particularly for key parameters such as power management default-delay times, print quality, and resolution. In addition:

Paper source and finishing hardware shall be present and configured as shipped; however, use of these features in the test is at the manufacturer's discretion (e.g., any paper source may be used). Any hardware that is part of the model and intended to be installed or attached by the user (e.g., a paper feature) shall be installed prior to this test.

Anti-humidity features may be turned off if they are user-controllable.

For fax machines, a page shall be fed into the unit's document feeder for convenience copying, and may be placed in the document feeder before the test begins. The unit need not be connected to a telephone line unless the telephone line is necessary for performing the test. For example, if the fax machine lacks convenience copying capability, then the job performed in Step 2 shall be sent via phone line. On fax machines without a document feeder, the page should be placed on the platen.

If a product has an Auto-off mode enabled as shipped, it shall be enabled prior to performing the test.

Speed

²⁵ The type of network connection shall be reported. Common network types are Ethernet, WiFi (802.11), and Bluetooth. Common data (non-network) connection types are USB, Serial, and Parallel.

When conducting power measurements under this test procedure, the product shall produce images at the speed resultant from its default settings as shipped. However, the manufacturer's reported maximum claimed simplex speed for making monochrome images on standard-sized paper shall be used for reporting purposes.

(c) <u>Power Measurement Method</u>

All power measurements are to be made in accordance with IEC 62301 with the following exceptions:

To determine the voltage/frequency combinations to be used during testing, see the Test Conditions and Equipment for ENERGY STAR Imaging Equipment Products in Section VII.D.4.

The harmonics requirement used during testing is that specified in the IE Test Conditions document, which is more stringent than that required by IEC 62301.

The accuracy requirement for this OM test procedure is 2% for all measurements except for Ready power. The accuracy requirement for measuring Ready power is 5%, as provided in the IE Test Conditions document. The 2% figure is consistent with IEC 62301, although the IEC standard expresses it as a confidence level.

For products designed to operate using batteries when not connected to the mains, the battery shall be left in place for the test; however, the measurement should not reflect active battery charging beyond maintenance charging (i.e., the battery should be fully charged before beginning the test).

Products with external power supplies shall be tested with the product connected to the external power supply.

Products powered by a standard low voltage dc supply (e.g., USB, USB PlusPower, IEEE 1394, and Power Over Ethernet) shall utilize a suitable acpowered source of the dc power. This ac-powered source's energy consumption shall be measured and reported for the imaging equipment product under test. For imaging equipment powered by USB, a powered hub serving only the imaging equipment being tested shall be used. For imaging equipment powered by Power Over Ethernet or USB PlusPower, it is acceptable to measure the power distribution device with and without the imaging product connected, and use this difference as the imaging product's consumption. The manufacturer should confirm that this reasonably reflects the unit's dc consumption plus some allowance for power supply and distribution inefficiency.

(d) <u>Measurement Procedure</u>

To measure time, an ordinary stopwatch and timing to a resolution of one second is sufficient. All power figures are to be recorded in watts (W). Table 38 outlines the steps of the OM test procedure.

Service/maintenance modes (including color calibration) generally should not be included in measurements. Any adaptation of the procedure needed to exclude such modes that occur during the test shall be noted.

As stated above, all power measurements are to be made in accordance with IEC 62301. Depending on the nature of the mode, IEC 62301 provides for instantaneous power measurements, five-minute accumulated energy measurements, or accumulated energy measurements over periods long enough to properly assess cyclical consumption patterns. Regardless of the method, only power values should be reported.

Step	Initial State	Action	Record
1	Off	Plug the unit into meter. Turn on unit. Wait until unit indicates it is in Ready mode.	_
2	Ready	Print, copy, or scan a single image.	_
3	Ready	Measure Ready power.	Ready power
4	Ready	Wait default delay-time to Sleep.	Sleep default- delay <i>time</i>
5	Sleep	Measure Sleep power.	Sleep power
6	Sleep	Wait default delay time to Auto-off.	Auto-off default-delay <i>time</i>
7	Auto-off	Measure Auto-off power.	Auto-off power
8	Off	Manually turn device off. Wait until unit is off.	_
9	Off	Measure Off power.	Off power

TABLE 38 - OM Test Procedu	re
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Notes:

- Before beginning the test, it is helpful to check the power management default-delay times to ensure they are as shipped.
- Step 1 If the unit has no Ready indicator, use the time at which the power consumption level stabilizes to the Ready level, and note this detail when reporting the product test data.
- Steps 4 and 5 For products with more than one Sleep level, repeat these steps as many times as necessary to capture all successive Sleep levels and report this data. Two Sleep levels are typically used in large-format copiers and MFDs that use high-heat marking technologies. For products lacking this mode, disregard Steps 4 and 5.
- Steps 4 and 6 Default-delay time measurements are to be measured in parallel fashion, cumulative from the start of Step 4. For example, a product set to enter a Sleep level in 15 minutes and enter a second Sleep level 30 minutes after entering the first Sleep level will have a 15-minute default-delay time to the first level and a 45-minute default-delay time to the second level.
- Steps 6 and 7 Most OM products do not have a distinct Auto-off mode. For products lacking this mode, disregard Steps 6 and 7.
- Step 8 If the unit has no power switch, wait until it enters its lowest power mode and note this detail when reporting the product test data.

(i) Additional Measurement for Products with a Digital Front End (DFE)

This step applies only to products that have a DFE as defined in Section VII.A.29.

If the DFE has a separate mains power cord, regardless of whether the cord and controller are internal or external to the imaging product, a fiveminute energy measurement of the DFE alone shall be made while the main product is in Ready mode. The unit must be connected to a network if network-capable as shipped.

If the DFE does not have a separate mains power cord, the manufacturer shall document the ac power required for the DFE when the unit as a whole is in a Ready mode. This will most commonly be accomplished by taking an instantaneous power measurement of the dc input to the DFE and increasing this power level to account for losses in the power supply.

(e) References

IEC 62301:2005. Household Electrical Appliances – Measurement of Standby Power

4. Test Conditions and Equipment for ENERGY STAR Imaging Equipment Products

The following test conditions shall be applied to the OM and TEC Test Procedures. These cover copiers, digital duplicators, fax machines, mailing machines, multifunction devices, printers, and scanners.

Below are the ambient test conditions that must be established when performing the energy or power measurements. These are necessary to ensure that variance in ambient conditions does not affect the test results, and that test results are reproducible. Specifications for test equipment follow the test conditions.

(a) Test Conditions

Supply Voltage*:	North America/Taiwan:	115 (± 1%) Volts AC, 60 Hz (± 1%)
	Europe/Australia/New Zealand:	230 (± 1%) Volts AC, 50 Hz (± 1%)
	Japan:	100 (± 1%) Volts AC, 50 Hz (± 1%)/60 Hz (± 1%)
		<i>Note:</i> For products rated for > 1.5 kW maximum power, the voltage range is $\pm 4\%$

	<2% THD (< 5% for products which are rated for >1.5 kW maximum power)	
Ambient Temperature:	$23^{\circ}C \pm 5^{\circ}C$	
Relative Humidity:	10 - 80 %	

(Reference IEC 62301: Household Electrical Appliances – Measurement of Standby Power, Sections 3.2, 3.3)

*Supply Voltage: Manufacturers shall test their products based on the market in which the partner intends to sell the products as ENERGY STAR qualified. For equipment that is sold in multiple international markets and therefore rated at multiple input voltages, the manufacturer must test at and report all relevant voltages and power consumption levels. For example, a manufacturer that is shipping the same printer model to the United States and Europe must measure and report the TEC or OM values at both 115 Volts/60 Hz and 230 Volts/50 Hz. If a product is designed to operate at a voltage/frequency combination in a specific market that is different from the voltage/frequency combination for that market (e.g., 230 Volts, 60 Hz in North America), the manufacturer should test the product at the regional combination that most closely matches the product's design capabilities and note this fact on the test reporting sheet.

Paper Specifications:

For all TEC tests and for OM tests that require the use of paper, the paper size and basis weight shall be appropriate to the intended market, per the following table.

Paper Size and Weight

Market	Size	Basis Weight
North America/Taiwan:	8.5" x 11"	75 g/m ²
Europe/Australia/New Zealand:	A4	80 g/m ²
Japan:	A4	64 g/m ²

(b) Test Equipment

The goal of the test procedures is to accurately measure the TRUE power consumption26 of the product. This necessitates the use of a True RMS power or energy meter. There are many such meters available, and manufacturers need to exercise care in selecting an appropriate model. The following factors must be considered when selecting a meter and conducting the test.

<u>Frequency Response</u>: Electronic equipment that contains switching power supplies introduces harmonics (odd harmonics typically up to the 21st). If these harmonics are not accounted for in power measurement, the result will be

²⁶ True power is defined as (volts)x(amps)x(power factor), and is typically reported as Watts. Apparent power is defined as (volts)x(amps), and is usually expressed in terms of VA or volt-amps. The power factor for equipment with switching power supplies is always less than 1.0, so true power is always less than apparent power. Accumulated energy measurements sums power measurements over a period of time and so also need to be based on measurements of true power.

inaccurate. EPA recommends that manufacturers use meters that have a frequency response of at least 3 kHz; this will account for harmonics up to the 50th, and is recommended by IEC 555.

<u>Resolution</u>: For direct power measurements, resolution of metering equipment shall be consistent with the following requirements of IEC 62301:

"The power measurement instrument shall have a resolution of:

- 0.01 W or better for power measurements of 10 W or less.
- 0.1 W or better for power measurements of greater than 10 W up to 100 W
- 1 W or better for power measurements of greater than 100 W.²⁷

In addition, the measurement instrument shall have a resolution of 10 W or better for power measurements greater than 1.5 kW. Measurements of accumulated energy should have resolutions which are generally consistent with these values when converted to average power. For accumulated energy measurements, the figure of merit for determining required accuracy is the maximum power value during the measurement period, not the average, since it is the maximum that determines the metering equipment and setup.

Accuracy

Measurements made with these procedures shall in all cases have an accuracy of 5% or better, though manufacturers will usually achieve better than this. Test procedures may specify greater accuracy than 5% for some measurements. With knowledge of the power levels of current imaging products and the meters available, manufacturers can calculate the maximum error based on the reading and the range utilized for the reading. For measurements of 0.50 W or less, the required accuracy is 0.02 W.

Calibration

Meters must have been calibrated within the last 12 months to ensure accuracy.

E. User Interface

Manufacturers are strongly recommended to design products in accordance with IEEE 1621: Standard for User Interface Elements in Power Control of Electronic Devices Employed in Office/Consumer Environments. This standard was developed to make power controls more consistent and intuitive across all electronic devices. For details on the development of this standard, see <u>http://eetd.lbl.gov/controls</u>.

F. Effective Date

The date that manufacturers may begin to qualify products as ENERGY STAR under the Version 1.0 specification, will be defined as the *effective date* of the agreement. Any

²⁷

IEC 62301 - Household Electrical Appliances - Measurement of Standby Power 2005.

previously executed agreement on the subject of ENERGY STAR qualified imaging equipment shall be terminated effective March 31, 2007.

Qualifying and Labeling Products under Version 1.0:

The Version 1.0 specification shall commence on April 1, 2007, with the exception of digital duplicators. All products, including models originally qualified under previous imaging equipment specifications, with a **date of manufacture** on or after the effective date, must meet the new Version 1.0 requirements in order to qualify for ENERGY STAR (including additional manufacturing runs of models originally qualified under previous specifications). The **date of manufacture** is specific to each unit and is the date (e.g., month and year) on which a unit is considered to be completely assembled.

- a. Tier I Tier I shall commence on **April 1, 2007**. Tier I applies to all products described in Section VII.B of this specification.
- b. Tier II Tier II shall commence on **April 1, 2009**. Tier II will apply to the maximum TEC levels for all TEC products, as well as to Standby levels for Large-format OM products and mailing machines. In addition, the definitions, products addressed, the manner in which they are addressed, and levels included for all products under this Version 1.0 specification may be reconsidered. EPA will inform stakeholders of plans to make such changes at most six months following the effective date of Tier I.
- c. Digital Duplicators Tier I of the Version 1.0 specification becomes effective for digital duplicators upon finalization of the agreement between the European Community and the US EPA.

Elimination of Grandfathering:

EPA and the European Commission will not allow grandfathering under this Version 1.0 ENERGY STAR specification. **ENERGY STAR qualification under previous Versions is not automatically granted for the life of the product model.** Therefore, any product sold, marketed, or identified by the manufacturing partner as ENERGY STAR must meet the current specification in effect at the time of manufacture of the product.

G. Future Specification Revisions

EPA and the European Commission reserve the right to change the specification should technological and/or market changes affect its usefulness to consumers, industry, or the environment. In keeping with current policy, revisions to the specification are arrived at through stakeholder discussions. EPA and the European Commission will periodically assess the market in terms of energy efficiency and new technologies. As always, stakeholders will have an opportunity to share their data, submit proposals, and voice any concerns. EPA and the European Commission will strive to ensure that the specification recognizes the most energy efficient models in the marketplace and reward those manufacturers who have made efforts to further improve energy efficiency.

a. <u>Color Testing</u>: Based on submitted test data, future consumer preferences, and engineering advancements, EPA and the European Commission may modify this specification at some point in the future to include color-imaging in the test method.

- b. <u>Recovery Time:</u> EPA and the European Commission will closely monitor incremental and absolute recovery times as reported by partners testing to the TEC method, as well as partner-submitted documentation regarding recommended default delay settings. EPA and the European Commission will consider modification of this specification to address recovery time should it become apparent that manufacturer practices are resulting in user disabling of power management modes.
- c. <u>Addressing OM Products Under TEC:</u> Based on submitted test data, opportunities for greater energy savings, and engineering advancements, EPA and the European Commission may modify this specification at some point in the future to address products that are currently treated by the OM approach under the TEC approach, including Large-format and Small-format products, as well as products that employ IJ technology.